

QUERY BY TAPPING SYSTEM BASED ON ALIGNMENT ALGORITHM

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ABSTRACT

Query-by-tapping systems are content-based music retrieval systems that allow users to tap or clap in a microphone the rhythmic pattern of the melody requested. In this paper, a new query-by-tapping system is described. This system is based on adaptations of alignment algorithms successfully applied for melodic similarity estimation. A similarity score is computed according to the cost of the elementary operations necessary to transform the query into the musical piece tested. A new method for extracting the rhythmic pattern from audio signals is also presented. This method is based on the analysis of the variations of the kurtosis computed from the audio samples. Experiments performed on the MIREX 2008 database show that the alignment technique proposed performs better than the participating algorithms. They also confirm the interest of the kurtosis-based analysis method in the case of noisy percussive queries.

Index Terms— Music, Information retrieval, Acoustic signal analysis, Dynamic programming.

1. INTRODUCTION

The number of digital audio documents available online is increasing. New interfaces for browsing have to be proposed to users in order to allow retrieval based on musical properties instead of only text. Content-based music retrieval research area investigates the development of retrieval tools that permit users to sing or whistle an excerpt of the musical piece searched [1]. Even if these query-by-humming/singing/whistling systems become more and more efficient and precise, the automatic comparison between two musical pieces is still an open problem, especially in the case of large database, even monophonic [2, 3].

Experiments with existing systems for automatically estimating melodic similarity between musical pieces clearly show that adding the information about note duration improves their accuracy [4]. Both information about melody and rhythm are thus combined. But approaches focusing exclusively on rhythmic properties have already been proposed [5], leading to Query-By-Tapping (QBT) systems [6].

QBT systems only consider the rhythm of the song's melody. No pitch information is taken into account. Users enter a sequence of taps or claps and the system has to retrieve the corresponding melody in a given database. MIDI interfaces such as e-Drum or MIDI keyboards have been experimented [6], but one can also think about PC or mobile phone keyboards. A few QBT systems considering audio signals have been recently presented. They record with a microphone (for example with a mobile phone) the user tapping or clapping the rhythm of the melody requested [7].

QBT systems rely on the automatic estimation of the similarity between two rhythmic patterns. By considering these patterns as strings, adaptations of string matching techniques, such as N-grams, have been proposed in [8]. Other systems compute a similarity measure based on dynamic programming [7]. More efficient algorithms for comparison have been proposed in [6]. More recently, algorithms dedicated to the geometric representation of music have been developed and experimented [9]. The main difficulty for these systems is to be able to retrieve the pieces that are similar, even if not necessarily identical.

In this paper, we propose and experiment a new QBT system, based on a new algorithm for rhythmic event detection and on an adaptation of alignment algorithm, successfully applied for the estimation of the melodic similarity [4]. In Section 2, we discuss the existing onset or transient detection method and propose a new one, based on the fourth statistical moment. Then, in Section 3, we detail the representation chosen for the analyzed rhythmic patterns, and the alignment algorithm proposed. Experiments with different analysis techniques are proposed in Section 4, just before concluding in Section 5.

2. ANALYSIS OF RHYTHMIC EVENTS

The first step of a QBT system is the analysis of the input audio query in order to extract the rhythmic information necessary for the comparison with the musical pieces of the database. This analysis is one of the most important part of the global system, since errors in the analysis will result in errors in all the retrieval system. However, it is important to note that spurious errors are generally unavoidable.

Concerning a QBT system, a complete transcription of the audio query is not required. Only the rhythmic information has to be extracted. Information about pitch has no interest. The algorithms considered are based on techniques for note onset detection. Literature about such methods is profuse [10, 11], and several accurate methods have been proposed. However, the audio signal analyzed by QBT systems are generally not musical, in the sense that no pitch can be heard. Therefore some of these methods cannot be as accurate with such audio signal than with purely musical signals.

Many methods are based on the analysis of the variations of the energy of the signals. Improvements by weighting the energy variations in the high frequencies allow the detection of percussive onsets [12]. In [11] are described the methods relying on phase vocoder, that is on the Short Time Fourier Transform (STFT) of the signal analyzed. The spectral difference method calculates the difference between the spectral magnitudes of two successive frames. Variations on the phase can also indicate the presence of onsets. Considering differences in the complex domain permits to take into account both magnitude or phase variations. Applying Kullback

Leibler distance helps to highlight high variations and to ignore small ones.

All these approaches lead to the definition of onset detection function. Problems come with the selection of the correct onsets. A peak-picking algorithm generally consider local maxima which are higher than a arbitrarily chosen threshold [10]. The choice of this threshold has a large impact on the results.

One important point to take into account is that queries for QBT systems are generally noisy. Moreover, no note is played. Techniques have to detect transients instead of note onsets. Existing methods may thus be limited, since they have generally been developed for music transcription. Therefore, we propose to experiment a new method dedicated to the noisy context and to the percussive characteristics of the musical events considered. This new approach relies on the analysis of the probability density function (PDF) of the audio signal samples.

2.1. Transient Detection Based on Kurtosis Variation

The transient detection method we proposed is based on the fourth statistical moment, assuming the analyzed signal x as a random signal X . Kurtosis, denoted K , is defined from the fourth moment:

$$K = \frac{\mathcal{E}(X^4)}{\sigma_X^4} \quad (1)$$

where $\mathcal{E}(X)$ is the expected value of X and σ_X represents its standard deviation.

Kurtosis characterizes the general shape of a PDF and more particularly its flatness. The higher the kurtosis, the sharper peak the PDF has. At the opposite, a low kurtosis is related to a PDF with a more rounded peak. Since a natural random signal is generally assumed as Gaussian, the kurtosis value associated is generally expected to be 3. Higher kurtosis value indicates a higher probability (than a normally distributed variable) of values near the mean. It may characterize the presence of transients in noisy sounds.

Therefore, the transient detection function proposed relies on the assumption that the presence of a transient may significantly increase the value of the kurtosis. In order to detect variations in kurtosis, the signal is analyzed during successive overlapping short frames ($N = 512$ samples, sampling rate 8000Hz, overlap rate 0.875%). It is important to note that a very low-level noise may be added to the analyzed signal to avoid null samples which may false this method. One kurtosis value K_r is associated to each frame r following the equation:

$$K_r = \frac{\sum_{n=n_r}^{n_r+N} x[n]^4}{\frac{1}{N} (\sum_{n=n_r}^{n_r+N} x[n]^2)^2} \quad (2)$$

where r represents the index of the current frame, and by assuming the mean of the signal samples to be null.

Since we focus on the variations of kurtosis, we propose to compute the derivative dK_r of the kurtosis, and a half-wave rectifier is applied to this derivative to calculate the transient detection function (DF):

$$dK_r = K_r - K_{r-1} \quad (3)$$

$$DF_r = \frac{dK_r + |dK_r|}{2} \quad (4)$$

The detection function DF_r takes values in the range $[0; \infty)$. Figure 1 illustrates the detection method proposed: the audio (noisy) query is represented on the top figure, the variations of kurtosis on the middle, and the derivative on the bottom. A threshold can be applied since high values may characterize the presence of transients.

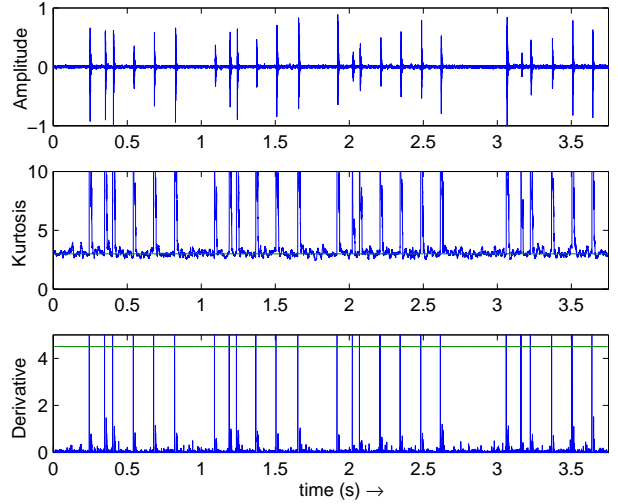


Fig. 1. Illustration of the transient detection method applied to an audio query : waveform (top), kurtosis computed on 512 samples frame (middle) and derivative of the kurtosis with chosen threshold (4.5) (bottom).

Experiments show that a value of 4.5 for the threshold leads to good results (see section 4). Furthermore, a filter can be applied to avoid the detection of two proximate transients. If two transients are detected within 512 samples, only the higher derivative value is preserved. This choice is justified by the physical difficulty for general public users to produce too fast taps.

3. ALIGNMENT OF SEQUENCES

Robust QBT systems have to take into account potential spurious errors in tapping [6]. However, experiments show that the similarity measures which perform well with synthetic queries (no error) do not necessarily yield good results with manually entered queries [8]. The QBT presented here is dedicated to the retrieval of music from manual queries, eventually with errors. This assumption leads to the consideration of approximate string matching techniques. One of these techniques is local alignment [13]. The adaptation of this method is presented in this section.

3.1. Representation of Rhythmic Patterns

The first choice for applying string matching algorithms is the choice of the representation of the rhythmic patterns analyzed from the audio query. The transient or onset detection estimates the times of rhythmic events. These events are due to note onsets or taps/claps. The representation chosen is a sequence of Inter-Onset Intervals (IOI). These IOIs represent the time intervals between two successive rhythmic events. For example, Figure 2 represents an excerpt of the musical piece *Happy Birthday*. The associated IOI sequence is: 90, 30, 120, 120, 120, 240, 90, 30, 120, 120, 120, 240, 90, 30, 120, 120, 120 (with tick MIDI as time unit).

A few representations for these IOIs can be considered. For example, IOIs can be represented as absolute values in time units (seconds for example). Nevertheless, it is important to note that users may propose an audio query similar to the musical piece requested,



Fig. 2. Musical score of an excerpt of *Happy Birthday*.

with no respect for the tempo. In this case, the two sequences (query and musical piece tested) are totally different whereas they are musically very similar. Therefore, we propose to encode the IOIs as relative values. Each rhythmic event is represented by the ratio of the current absolute IOI by the previous one. For example, the excerpt shown by Figure 2 can be represented by the sequence: 1, 0.33, 4, 1, 1, 2, 0.375, 0.33, 4, 1, 1, 2, 0.375, 0.33, 4, 1, 1, 1. This way, a same sequence represents a musical piece played at a different tempo.

3.2. Adaptations of Local Alignment Algorithm

As previously seen, users tapping or clapping rhythmic patterns may not always be musicians, and may thus generate a query with spurious errors. Furthermore, onset or transient detection algorithms may also estimate wrongly the rhythmic patterns requested. All these potential errors may have a large impact on the quality of a music retrieval system such as QBT. Therefore we propose to adapt approximate string matching methods that have been experimented as very precise and efficient for the estimation of the melodic similarity [14, 4].

Among several existing methods, Smith and Waterman’s approach [13] consists in detecting local similar areas between two sequences. This *local alignment* or *local similarity* algorithm finds and extracts a pair of regions, one from each of the two given strings, that exhibit high similarity. A similarity score is calculated by considering elementary operations that transform one string into the other. The operations between sequences include deletion, insertion of a symbol, and substitution of a symbol by another. This similarity measure makes use of the dynamic programming principle to achieve an algorithm with quadratic complexity.

Adaptation to the specific problem of estimation of similarity between rhythmic patterns requires the definition of the elementary operations. The costs associated to the insertion and deletion are fixed and are the same. Concerning the substitution cost $S(x, y)$ between IOIs relative values x and y , a positive score is associated to a match ($x = y$) and a penalty (negative score) is given in case of mismatch. This score is related to the ratio between the values of the relative IOI sequences. However, since mismatch due to errors in sound analysis or in the query may occur, we propose to match two IOI relative values even if they are not exactly the same. A threshold $T_m > 1$ is fixed. If the IOI ratio is lesser than T_m , the substitution cost is positive, but lesser than the cost associated to perfect match (denoted S_{match}), depending on the IOI ratio. Then, if the IOI ratio is greater than T_m , a fixed penalty score, denoted $S_{mismatch}$ is given:

$$\begin{aligned}
 S(x, y) &= S_{match} & \text{if } x &= y \\
 S(x, y) &= S_{match} + 1 - \frac{x}{y} & \text{if } 1 < \frac{x}{y} < T_m \\
 S(x, y) &= S_{match} + 1 - \frac{y}{x} & \text{if } 1 < \frac{y}{x} < T_m \\
 S(x, y) &= S_{mismatch} & \text{else}
 \end{aligned} \tag{5}$$

4. EXPERIMENTS

In this section, we propose experiments performed with the MIREX¹ 2008 Query-by-Tapping task database. This database is composed of 103 ground-truth monophonic MIDI files. Human assessors (1 to 6) have listened and tapped a 15 seconds query rhythm from beginning for each target song. Two files are proposed for each of the 533 queries: audio WAV files (recorded in 8kHz, 8 bits), and onset symbolic query files annotated from WAV files. The database author explains that these onset files can’t guarantee to have perfect detection result from original WAV query files.

The evaluation is proposed according to top-10 hit rate (the algorithm tested returns top 10 candidates for each query file, and 1 point is scored for a hit in the top 10) and to average mean reciprocal rank (MRR).

4.1. Experimenting the Alignment Algorithm

First experiments concern only the alignment algorithm and the representation of the rhythmic pattern. Our results can be compared to the ones obtained by the HL1, RT and SH2 algorithms submitted to the MIREX 2008 QBT task² summarized in Table 1. These three algorithms have considered onset files providing with the database. They are also the inputs of the alignment algorithm. Results are presented in the first column (Onset Files OF) of Table 2. The results obtained with the alignment algorithm are better than the best results obtained by the RT algorithm, especially the average MRRs (0.667 and 0.723 instead of 0.577 and 0.623). The difference on the top 10 hit rate seems to show the limitations due to the rhythmic event detection method.

These results show that the alignment algorithm proposed can be adapted for the estimation of the rhythmic similarity. The results are rather good (402 queries from 533 allow the retrieval of the correct musical piece in the top 10). However, the quality of these results highly depends on the precision of the onset files analyzed.

TeamID	HL1	HL2	RT	SH1	SH2
Av. MRR by Query	0.336	0.060	0.577	0.204	0.570
Av. MRR by Group	0.404	0.059	0.623	0.169	0.520
Top 10 by Query	0.620	0.137	0.740	0.312	0.688

Table 1. Results of the Query-by-Tapping task during MIREX 2008.

Method	OF	CD	HFC	SD	MKL	K
Av. MRR by Query	0.667	0.579	0.616	0.432	0.480	0.703
Av. MRR by Group	0.723	0.541	0.561	0.419	0.406	0.705
Top 10 by Query	0.754	0.728	0.756	0.585	0.634	0.837

Table 2. Results obtained by combining the alignment algorithm proposed and a few rhythmic event detection methods: onset files (OF), complex domain (CD), high frequency content (HFC), spectral difference (SD), modified Kullback-Leibler (MKL) and kurtosis (K).

¹<http://www.music-ir.org/mirex/2008/>

²http://www.music-ir.org/mirex/2008/index.php/Query-by-Tapping_Results

4.2. Experimenting the Rhythmic Event Detection

Rhythmic event detection method has a large impact on the quality of the retrieval results. We thus propose to experiment a few different analysis algorithms (complex domain, high frequency content, spectral difference, modified Kullback-Leibler) with the same alignment algorithm for the estimation of the similarity. The methods have been chosen because they give the best retrieval results. We have applied the *aubio* utilities [15] developed by Paul Brossier [16]. For each method, we have set threshold to get the best retrieval results. We have also experimented the transient detection method based on kurtosis, proposed in Section 2.

Results are presented in Table 2. The methods that give the best results are the methods based on kurtosis and high frequency content (HFC). The kurtosis method allows the retrieval of 446 musical piece from 533 in the top 10, the HFC method 403. This observation can be explained by the nature of the query. The audio signals are noisy and the rhythmic events are unpitched and percussive. The HFC and kurtosis methods are dedicated to this type of sounds. Furthermore, it is important to note that the kurtosis based detection method significantly improves the precision of the QBT system compared to the use of the onset files.

5. CONCLUSION AND FUTURE WORK

In this paper, we propose a new rhythmic event detection based on kurtosis, adapted to the QBT systems, since users claps or taps into a microphone. We also present the adaptation of local alignment algorithm. Experiments shows that the combination of these two techniques outperforms the existing QBT algorithm. Moreover, it is important to note that the alignment algorithm does not impose any assumption about the audio query: it is not required that the query represents the beginning of the musical piece requested, at the contrary of the MIREX 2008.

Improvements would mainly concern the rhythmic event detection. The precision is essentially related to the context of the application. For example, if the audio query is generated by singing, there is no doubt that another detection method (dedicated to note onset detection, for example spectral difference) would give better results.

More generally, query-by-tapping systems are less discriminant than query-by-humming systems for example, because the rhythmic information is musically less precise. Several popular musical pieces can be characterized by the same rhythmic pattern. This explains the limitations of the results presented, for example the limited size of the database tested (only a little more than 100 pieces). That's also why queries have to be longer than for other retrieval systems. However, we think considering the similarity between rhythmic patterns may be very useful if this information is combined with other musical properties such as harmony or timbre for example.

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