

# Leader Election in Asymmetric Labeled Unidirectional Rings

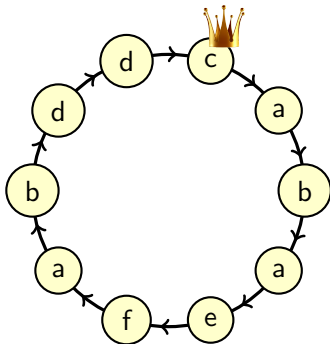
Karine Altisen<sup>1</sup>   Ajoy K. Datta<sup>2</sup>   Stéphane Devismes<sup>1</sup>  
Anaïs Durand<sup>1</sup>   Lawrence L. Larmore<sup>2</sup>

<sup>1</sup> Univ. Grenoble Alpes, CNRS, Grenoble INP, VERIMAG, 38000 Grenoble, France

<sup>2</sup> University of Nevada Las Vegas, USA

Meeting DESCARTES, October 2-4 2017, Poitiers



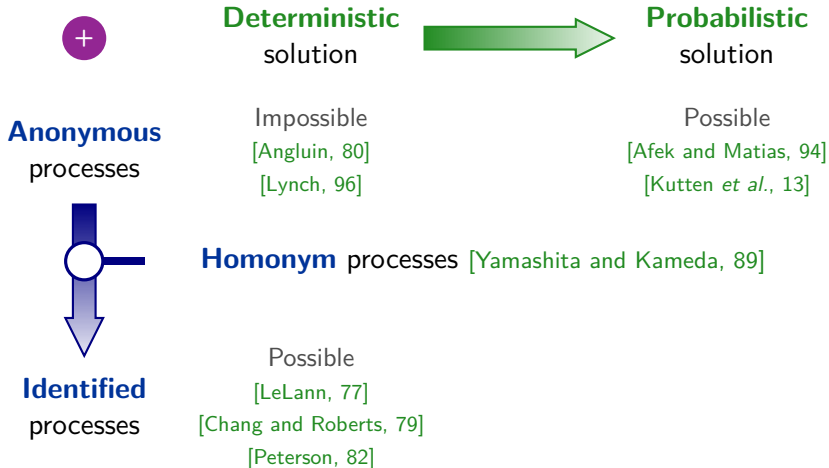


- Leader election
- Unidirectional rings
- Homonym processes
- Deterministic algorithm
- Asynchronous message-passing

# State of the Art - Leader Election



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# Two versions of the Leader Election problem

- 1 **Message-terminating:** Processes do not explicitly terminate but only a finite number of messages are exchanged.
- 2 **Process-terminating:** Every process eventually halts.

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We consider three important classes of ring networks.

- $\mathcal{K}_k$  is the class of all ring networks such that no label occurs **more than**  $k$  times.
- $\mathcal{A}$  is the class of all asymmetric ring networks: rings with no non-trivial rotational symmetry.
- $\mathcal{U}^*$  is the class of all rings in which at least one label is unique.



# Symmetric vs. Asymmetric

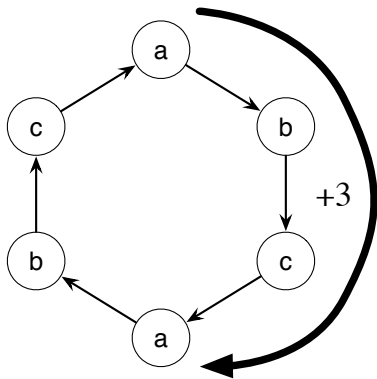


Figure : Symmetric Ring

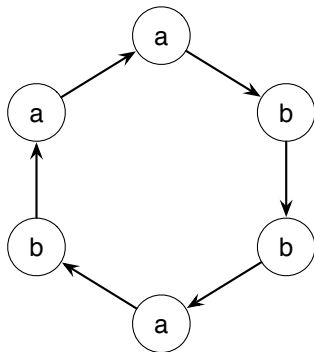


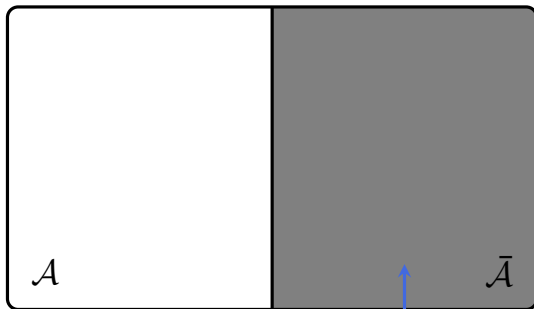
Figure : Asymmetric Ring

- $\mathcal{K}_1 \subset \mathcal{K}_2 \subset \mathcal{K}_3 \dots$
- $U^* \cap \mathcal{K}_1 \subset U^* \cap \mathcal{K}_2 \subset U^* \cap \mathcal{K}_3 \dots \subset U^*$
- $\mathcal{K}_1 \subset U^*$
- $U^* \subset \mathcal{A}$

## Leader Election in Rings of Homonym Processes

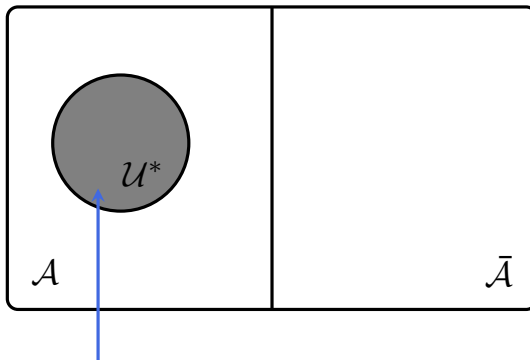
	PT/MT	Asynch.	Uni./Bi.	Known	Ring Class	# Msg	Time
[Delporte <i>et al.</i> , 14]	MT	✓	Bi.	$n$	# labels > greatest proper divisor of $n$	?	?
	PT	✓				$O(n \log n)$	?
[Dobrev, Pelc, 04]	PT	✗	Bi. + Uni.	$m \leq n$	Decide if inputs are unambiguous	$O(n \log n)$	$O(M)$
		✓	Bi.	$M \geq n$		$O(nM)$	?
[SSS 2016]	PT	✓	Uni.	$k$	$\exists$ unique label and # proc with same label $\leq k$	$O(kn)$	$O(kn)$
[IPDPS 2017]	PT	✓	Uni.	$k$	Asymmetric labelling and # proc with same label $\leq k$	$O(n^2 + kn)$	$O(kn)$
						$O(k^2 n^2)$	$O(k^2 n^2)$

- Uni : Unidirectional / Bi : Bidirectional
- MT = Message-terminating
- PT = Process-terminating



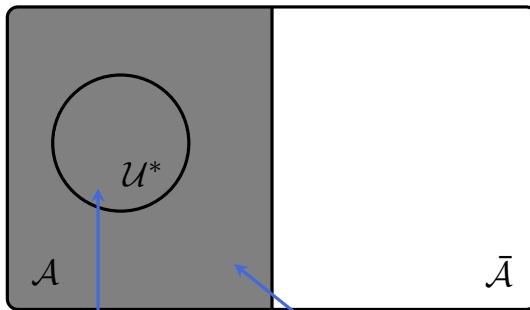
MT-LE Impossible

- MT-LE: Message-Terminating Leader Election
- PT-LE: Process-Terminating Leader Election
- $\mathcal{A}$ : Rings with asymmetric labelling
- $\bar{\mathcal{A}}$ : Rings with symmetric labelling
- $\mathcal{U}^*$ : Rings with at least one unique label
- $\mathcal{K}_k$ : Rings with no more than  $k$  processes with the same label



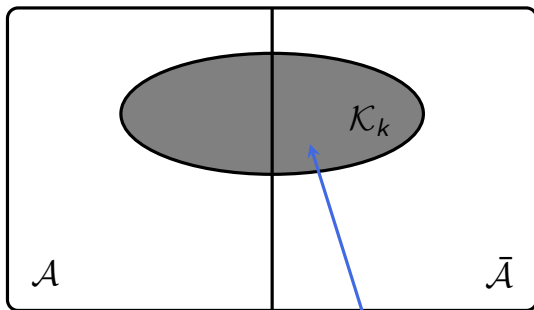
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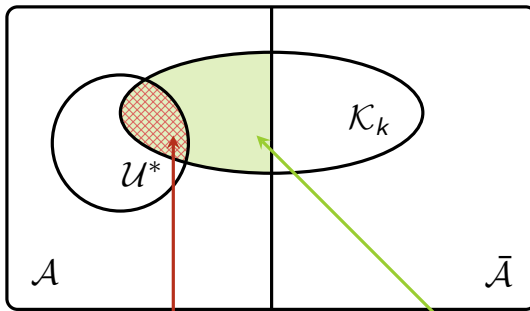
PT-LE Impossible  $\Rightarrow$  PT-LE Impossible

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PT-LE Algorithm for  $\mathcal{U}^* \cap \mathcal{K}_k$

PT-LE Algorithms for  $\mathcal{A} \cap \mathcal{K}_k$

- MT-LE: Message-Terminating Leader Election
- PT-LE: Process-Terminating Leader Election
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Lower bound  
for  $\mathcal{U}^* \cap \mathcal{K}_k$

## Lemma

*Let  $k \geq 2$ .*

*Let  $A$  be an algorithm that solves the PT-LE for  $\mathcal{U}^* \cap \mathcal{K}_k$ .*

*$\forall R_n \in \mathcal{K}_1$  of  $n$  processes, the synchronous execution of  $A$  in  $R_n$  lasts at least  $1 + (k - 2)n$  time units.*

# Proof Outline (1/3)

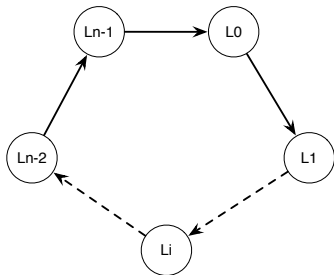


Figure :  $R_n \in K_1 \subset \mathcal{U}^* \cap \mathcal{K}_k$

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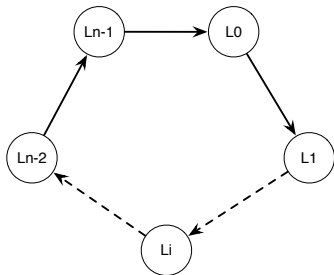


Figure :  $R_n \in K_1 \subset \mathcal{U}^* \cap \mathcal{K}_k$

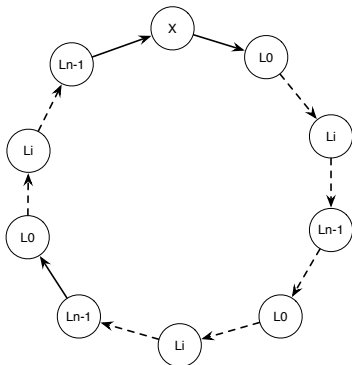


Figure :  $R_{n,k} \in \mathcal{U}^* \cap \mathcal{K}_k$

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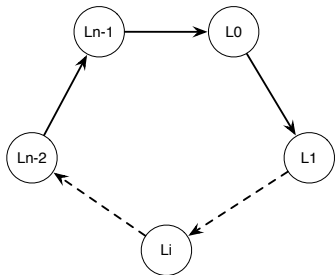


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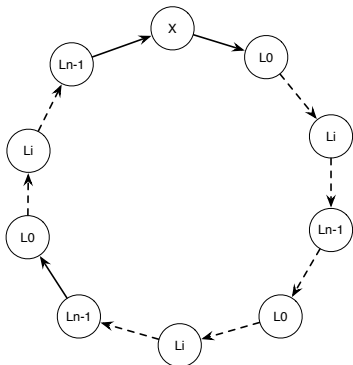


Figure :  $R_{n,k} \in \mathcal{U}^* \cap \mathcal{K}_k$

By the contradiction, assume that the synchronous execution of  $A$  on  $R_n$  terminates before time  $1 + (k - 2)n$ .

# Proof Outline (2/3)

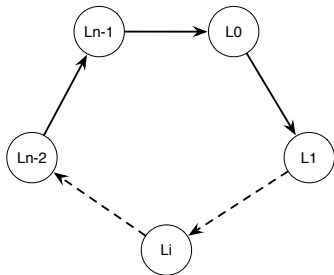


Figure :  $R_n \in K_1 \subset \mathcal{U}^* \cap \mathcal{K}_k$

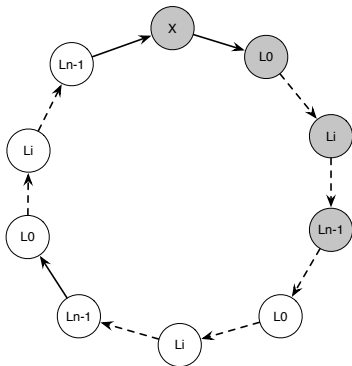


Figure :  $R_{n,k} \in \mathcal{U}^* \cap \mathcal{K}_k$

Synchronous execution after up to  $T < 1 + (k - 2)n$  time units.

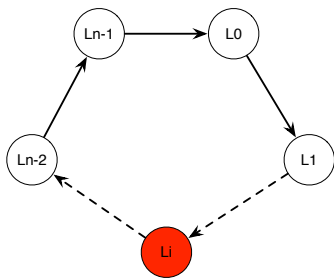


Figure :  $R_n \in K_1 \subset \mathcal{U}^* \cap \mathcal{K}_k$

At time  $T$ , one node is elected in  $R_n$ .

# Proof Outline (3/3)

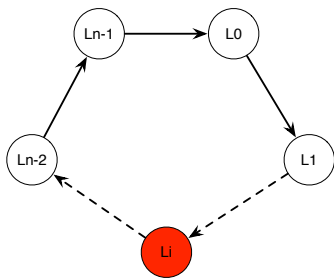


Figure :  $R_n \in K_1 \subset \mathcal{U}^* \cap \mathcal{K}_k$

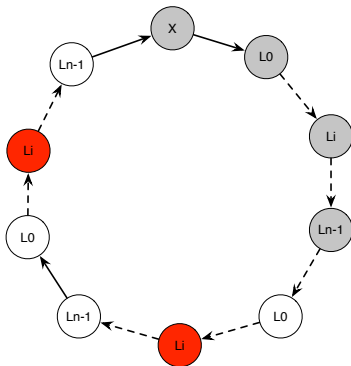


Figure :  $R_{n,k} \in \mathcal{U}^* \cap \mathcal{K}_k$

At time  $T$ , one node is elected in  $R_n$ .

But, two nodes are elected in  $R_{n,k}$ , contradiction.



## Corollary

*Let  $k \geq 2$ . The time complexity of any algorithm that solves the process-terminating leader election for  $\mathcal{U}^* \cap \mathcal{K}_k$  (resp.  $\mathcal{A} \cap \mathcal{K}_k$ ) is  $\Omega(k n)$  time units, where  $n$  is the number of processes.*

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By definition,  $A$  solves PT-LE in  $\mathcal{U}^* \cap \mathcal{K}_3, \mathcal{U}^* \cap \mathcal{K}_4, \dots$

Let  $R_n$  be a ring network of  $\mathcal{K}_1$  with  $n$  processes.

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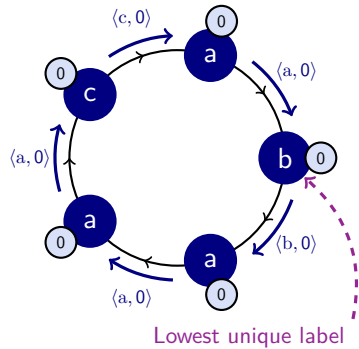
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...

# Algorithm for $\mathcal{U}^* \cap \mathcal{K}_k$

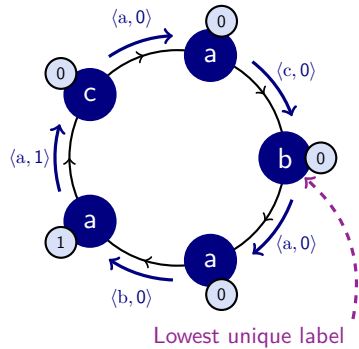


- Counter = rough estimation of the predominance

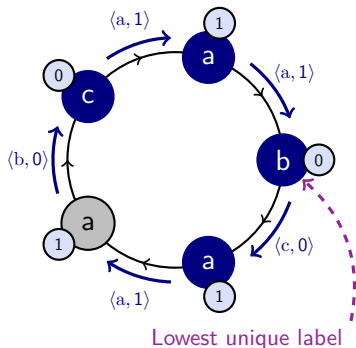


# PT-LE Algorithm $U_k$ for $\mathcal{U}^* \cap \mathcal{K}_k$

- Counter = rough estimation of the predominance
- Process elimination:
  - ▶ Lower counter,  $\neq$  label  $\rightarrow$  not unique

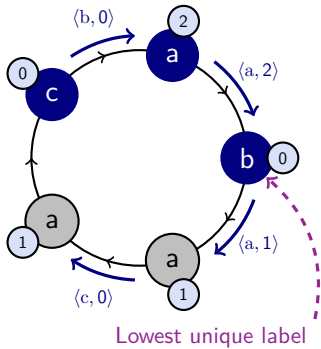


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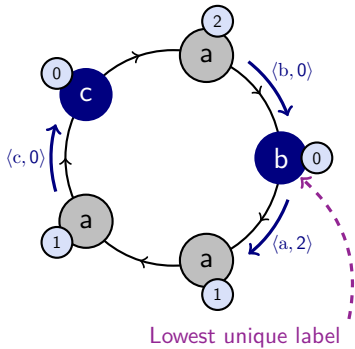
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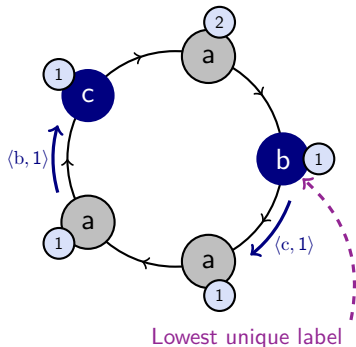
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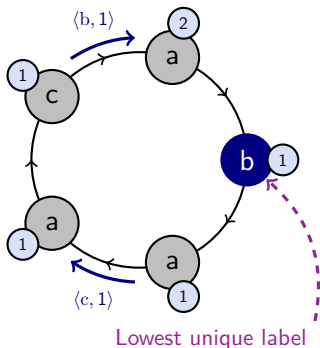
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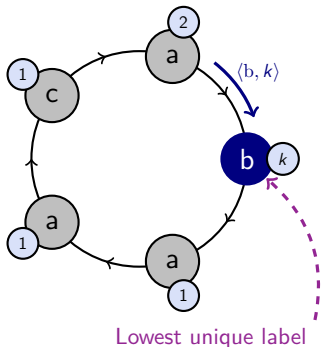


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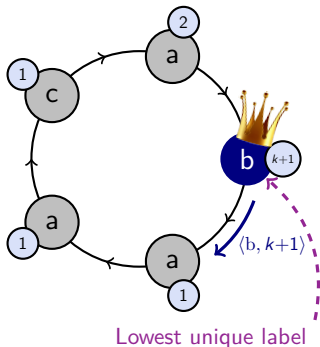


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- Phases:
  - ▶ 1st traversal: no more active non-unique labels
  - ▶ 2nd traversal: no more active non-lowest unique labels
  - ▶ Election detection: receiving  $\langle id, k \rangle$





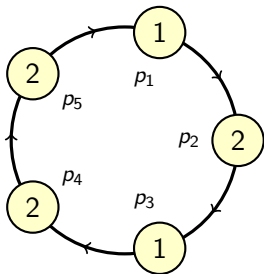
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- **Time complexity:** at most  $n(k + 2)$   
Asymptotically optimal (work under submission)
- **# messages:**  $O(n^2 + kn)$
- **Memory requirement:**  $\lceil \log(k + 1) \rceil + \log(n) + 4$

# Algorithms for $\mathcal{A} \cap \mathcal{K}_k$

## ■ Chosen Leader:

process whose LabelSequence = LyndonWord(LabelSequence)  
Lyndon Word = smallest rotation in lexicographic order



## ■ Label Sequence at $p_1$ :

$$LS_{p_1} = 12212$$

Rotations:

$$12212 \quad (= LS_{p_1})$$

$$21221 \quad (= LS_{p_2})$$

$$\mathbf{12122} \quad (= LS_{p_3})$$

$$21212 \quad (= LS_{p_4})$$

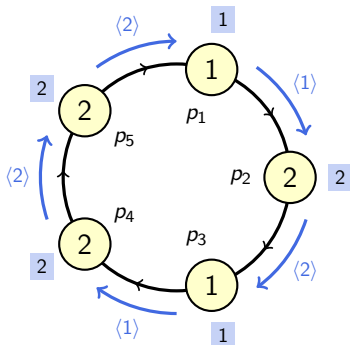
$$22121 \quad (= LS_{p_5})$$

$$LW \neq LS_{p_1}$$

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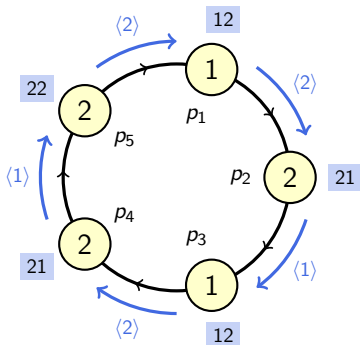


## ■ Local label aggregation

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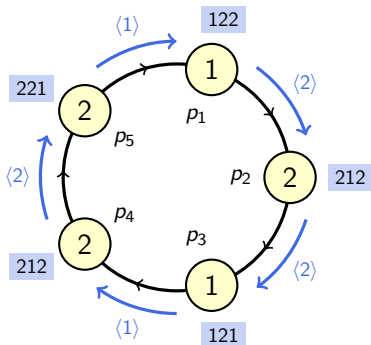


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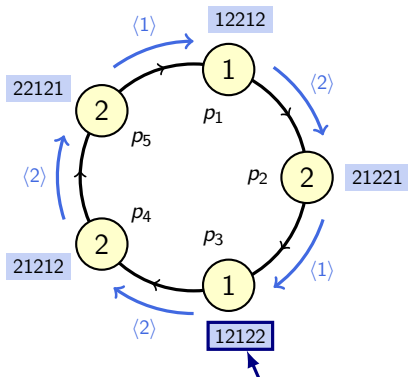
## ■ Local label aggregation

# $A_k$ , first PT-LE Algorithm for $\mathcal{A} \cap \mathcal{K}_k$

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■ Local label aggregation

■ ☹ Do not know  $n$   
 $\Rightarrow$  Leader cannot detect its election

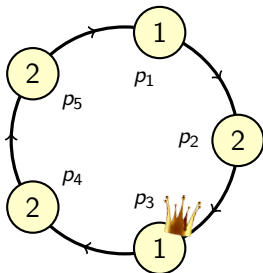


# $A_k$ , first PT-LE Algorithm for $\mathcal{A} \cap \mathcal{K}_k$

## ■ Chosen Leader:

process whose LabelSequence = LyndonWord(LabelSequence)

Lyndon Word = smallest rotation in lexicographic order



$k = 3$

121221212212

Smallest repeating prefix = LabelSequence  
= LyndonWord(Smallest repeating prefix)

- Local label aggregation
- ☹ Do not know  $n$   
⇒ Leader cannot detect its election
- Termination detection =  $(2k + 1) \times$  the same label  
⇒ at least 2 times the sequence of labels

- **Time complexity:** at most  $(2k + 2)n$  time units
- **Message complexity:** at most  $n^2(2k + 1)$  messages
- **Memory:**  $(2k + 1)nb + 2b + 3$  bits,  
where  $b =$  number of bits to store an ID

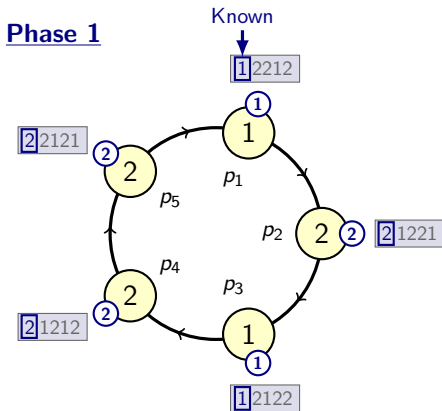
**Asymptotically optimal time complexity**

but

**Large memory requirement**

# $B_k$ , second PT-LE Algorithm for $\mathcal{A} \cap \mathcal{K}_k$

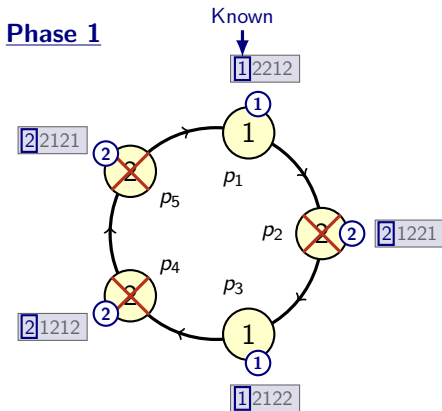
- Decrease memory usage  $\Rightarrow$  Peterson principle with radix sort



- During a phase, Known values of active processes circulate clockwise
- End of phase: each still active process received its Known value  $k + 1$  times

# $B_k$ , second PT-LE Algorithm for $\mathcal{A} \cap \mathcal{K}_k$

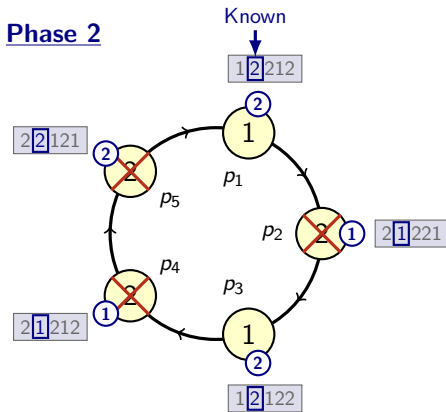
- Decrease memory usage  $\Rightarrow$  Peterson principle with radix sort



- During a phase, Known values of active processes circulate clockwise
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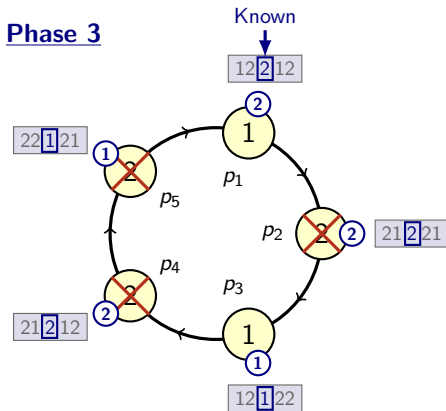
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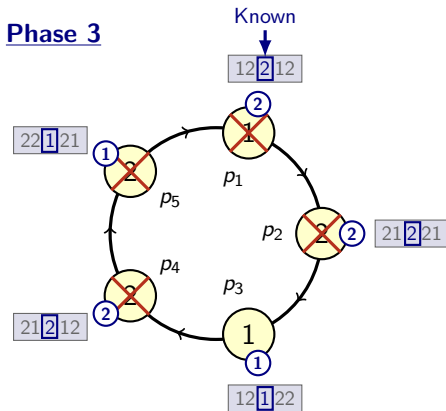
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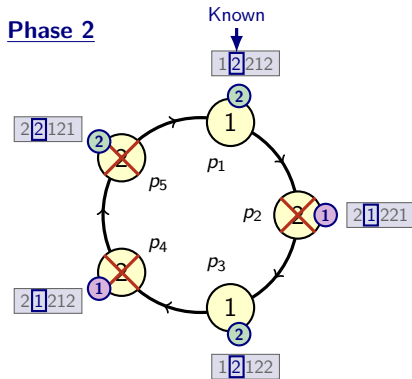
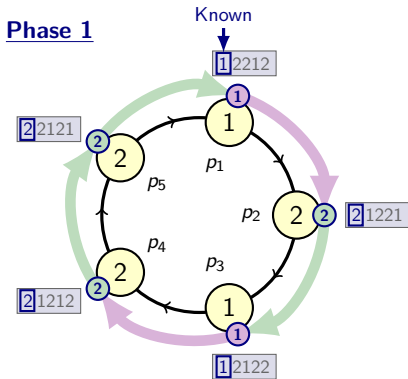
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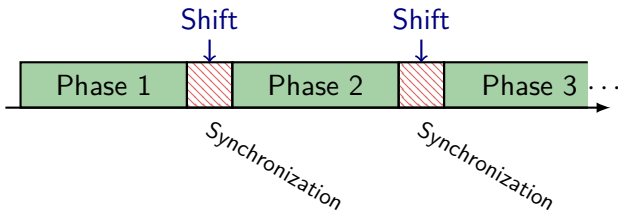
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## Phase Shift





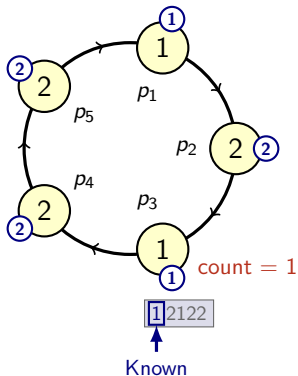
## ■ Execution



# $B_k$ , second PT-LE Algorithm for $\mathcal{A} \cap \mathcal{K}_k$

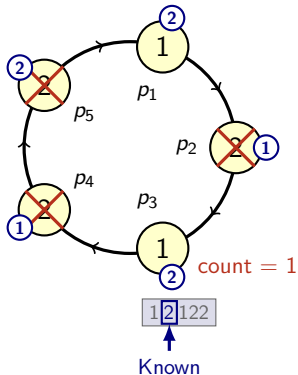
- **Termination Detection:**  $\text{count} = k+1$   
 $\text{count} = \# \text{ phases where Known} = \text{Label}$

## Phase 1



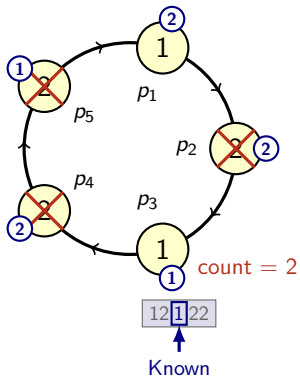
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## Phase 2



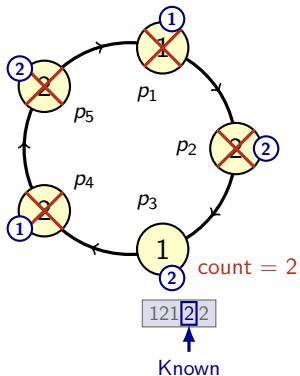
- **Termination Detection:**  $\text{count} = k+1$   
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## Phase 3



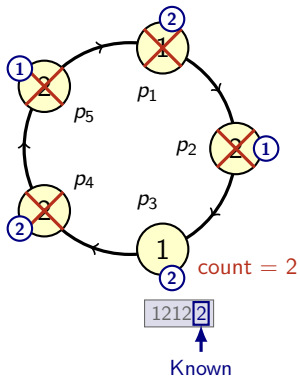
- **Termination Detection:**  $\text{count} = k+1$   
 $\text{count} = \# \text{ phases where Known} = \text{Label}$

## Phase 4



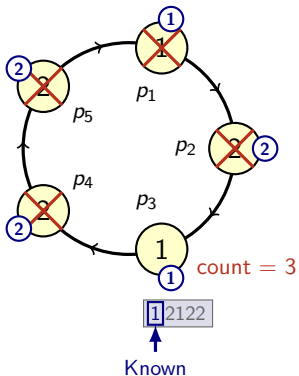
- **Termination Detection:**  $\text{count} = k+1$   
 $\text{count} = \# \text{ phases where Known} = \text{Label}$

## Phase 5



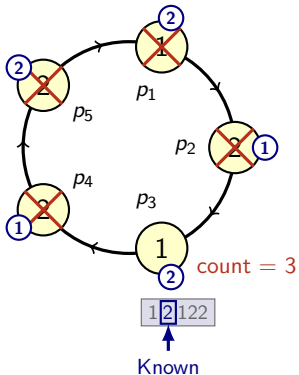
- **Termination Detection:**  $\text{count} = k+1$   
 $\text{count} = \# \text{ phases where Known} = \text{Label}$

## Phase 6



- **Termination Detection:**  $\text{count} = k+1$   
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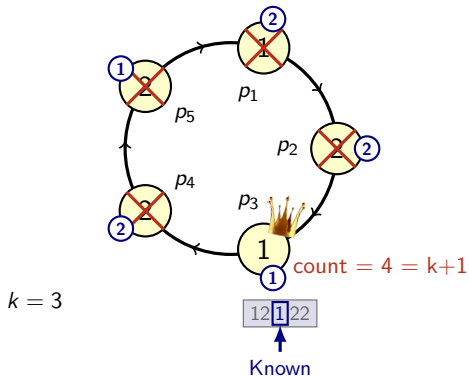
## Phase 7





- **Termination Detection:** count =  $k+1$   
count = # phases where Known = Label

## Phase 8



- **Memory:**  $2 \lceil \log k \rceil + 3b + 5$  bits,  
where  $b =$  number of bits to store an ID
- **Time complexity:**  $O(k^2 n^2)$  time units
- **Message complexity:**  $O(k^2 n^2)$  messages

**Asymptotically optimal memory requirement**  
but  
**Large time complexity**

# Conclusion

# Contributions Summary

- **Impossibility results:**  $\bar{\mathcal{A}}$ ,  $\mathcal{A}$ ,  $\mathcal{U}^*$ , and  $\mathcal{K}_k$
- **Lower bounds:**
  - ▶ on the time in  $\mathcal{U}^* \cap \mathcal{K}_k$  and  $\mathcal{A} \cap \mathcal{K}_k$ :  $\Omega(kn)$
  - ▶ on the # bits exchanged in  $\mathcal{U}^* \cap \mathcal{K}_k$  and  $\mathcal{A} \cap \mathcal{K}_k$ :  $\Omega(n^2 + kn)$
- **Algorithms:**

	$U_k$	$A_k$	$B_k$
Rings	$\mathcal{U}^* \cap \mathcal{K}_k$	$\mathcal{A} \cap \mathcal{K}_k$	
Time	$O(kn)$	$O(kn)$	$O(k^2n^2)$
# Messages	$O(kn)$	$O(n^2 + kn)$	$O(k^2n^2)$
Bits/process	$O(\log k + b)$	$O(knb)$	$O(\log k + b)$

**Key:**  asymptotically optimal



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- Other topologies: regular graphs, grids, torii, arbitrary connected ...
- Other problems (solutions exist for the consensus problem with permanent failures)

# Thank you for your attention

- K. Altisen, A. K. Datta, S. Devismes, A. Durand, and L. L. Larmore. [Leader Election in Rings with Bounded Multiplicity \(Short Paper\)](#). *SSS 2016*, pp. 1-6, Lyon, France, Nov. 7-10, 2016.
- K. Altisen, A. K. Datta, S. Devismes, A. Durand, and L. L. Larmore. [Leader Election in Asymmetric Labeled Unidirectional Rings](#). *IPDPS 2017*, pp. 182-191, Orlando, Florida, USA, May 29 - June 2, 2017.