



Master2 Informatique

UE: ALGORITHMIQUE DISTRIBUÉES – 4TIN913U

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Durée : 1h30

Documents (course and personal notes) allowed

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Answers can be written in French or in English. All "algorithms" involved in this exam are distributed algorithms in the LOCAL model.

## Coloring Cycles with 3 Colors

**Question 1.** Give the main features of the LOCAL model.

**SOLUTION.** 1. Synchronisme, 2. Identifiant, 3. Taille illimitée des messages

Recall that an *oriented* cycle is a cycle graph where each vertex is aware of its successor (and thus of its predecessor). This knowledge can be used to speedup coloring algorithm.

In the lecture, we described a fast 3-coloring algorithm for oriented cycles with  $n$  vertices running in at most  $f(n) + c$  rounds, where  $f(n)$  is some suitable function of  $n$ , and  $c$  is some small constant. For simplicity, let us denote this algorithm by A.

**Question 2.** Describe the principle of algorithm A, i.e., the main steps, and specify what is  $f(n)$  and the constant  $c$ .

**SOLUTION.** On calcule en  $\lceil \log^*(n)/2 \rceil$  rondes une 6-coloration en répétant un double POSDIFF entre sa couleur  $x$  et celles de ses voisins  $y, z$ . Puis on élimine successivement les couleurs de numéro 3, 4, 5. Au final, cela prend  $f(n) + c = \lceil \log^*(n)/2 \rceil + 3$  rondes.

The goal is to slightly improve algorithm A. Recall that, at some stage, algorithm A reduces the palette of colors (given as a range of integers) from  $[0, 6[ = \{0, 1, 2, 3, 4, 5\}$  to  $[0, 3[ = \{0, 1, 2\}$ .

**Question 3.** Show that the colors 4 and 5 can be removed simultaneously from the initial palette  $[0, 6[$  in only one round. [Hint: Manage carefully the case where vertices of colors 4 and 5 are adjacent.] Does this round use the orientation of the cycle?

**SOLUTION.** Soit  $c$  la coloration. On calcule  $X = c(N(u)) = \{c(u) : u \in N(u)\}$  en une ronde. Puis si  $c(u) = 4$ ,  $c'(u) = \text{FIRSTFREE}(X)$  et si  $c(u) = 5$ ,  $c'(u) = \text{FIRSTFREE}(X \cup [0, 1[)$ . Cette ronde n'utilise pas l'orientation. Preuve que cela marche. Soit  $v$  un voisin de  $u$ . Il faut montrer que  $c'(u) \neq c'(v)$ . Si  $c(v) \notin \{4, 5\}$ , alors  $c'(v) = c(v)$  et donc  $c'(u) \neq c'(v)$  car  $c'(u) \notin X$  qui contient  $c(v)$ . Supposons  $c(u) = 4$  et  $c(v) = 5$  (ou le contraire en échangeant le rôle de  $u$  et  $v$ ). Comme  $X$  contient 5,  $c'(u) < 2$ . Et donc  $c'(v) \neq c'(u)$  car  $c'(v) \geq 2$  par construction.

Let us call this improved algorithm, algorithm B.

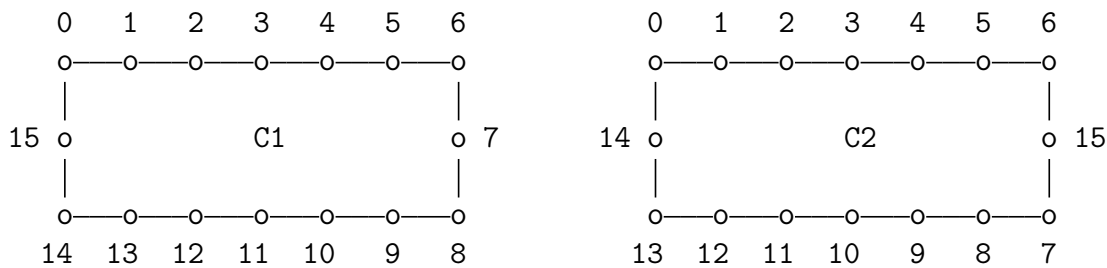
**Question 4.** What is the maximum number of rounds of B if  $n \leq 65536 = 2^{16}$ ? Justify.

**SOLUTION.** On a  $2^{16} = 2^{2^{2^1}}$  et donc  $\log^* 65536 = 4$ . L'algorithme prend donc un temps de  $\lceil \log^*(n)/2 \rceil + (3 - 1) = \lceil 4/2 \rceil + 2 = 4$  rondes.

# Coloring Even Cycles with 2 Colors

In this part we are interesting in 2-coloring cycles with an even number of vertices. We will assume that all cycles are oriented, even if we did not say it explicitly.

Consider two cycles,  $C_1$  and  $C_2$ , each with  $n = 16$  vertices, and with the following ID distributions:



**Question 5.** Design a 2-coloring algorithm specific for  $C_1$  that runs in 0 round. Same question for  $C_2$ .

**SOLUTION.** Pour  $C_1$ ,  $c(u) = \text{ID}(u)\%2$ . Pour  $C_2$ , si  $\text{ID}(u) \in [7, 14]$ ,  $c(u) = 1 - \text{ID}(u)\%2$ , sinon  $c(u) = \text{ID}(u)\%2$ .

In the lecture, we proved a lower bound of  $(\frac{1}{2} \log^* n) - 1$  rounds for any 4-coloring algorithm for cycles with  $n$  vertices.

**Question 6.** Noting that a 2-coloring is a particular 4-coloring, what is the minimum number of rounds implied by this above lower bound applied to 2-coloring for cycles of  $n = 16$  vertices? Justify.

**SOLUTION.**  $\log^* 16 = \log^* 2^{2^2} = 3$ . Il faut donc au moins  $\lceil 3/2 - 1 \rceil = 1$  ronde.

**Question 7.** Is the result of Question 6 in contradiction with the algorithms of Question 5? Justify.

**SOLUTION.** Non, car la borne inférieure s'applique pour la coloration de tout cycle à  $n$  sommets, c'est-à-dire tout cycle avec toute distribution d'ID dans  $[0, n[$ .

**Question 8.** Design a fast 2-coloring algorithm that applies to both  $C_1$  and  $C_2$ . Specify its number of rounds. [Hint: Detect if a vertex lives in  $C_1$  or  $C_2$ .]

**SOLUTION.** Dans  $C_1$  on remarque que les sommets 7 et 15 sont à distance 8, donc chaque boule de rayon 4 doit contenir 7 ou 15 (et même le chemin menant à l'un de ces sommets). Pour qu'un sommet  $u$  soit dans  $C_1$ , il faut avoir dans  $B(u, 4)$ , soit l'arête 0 – 15, soit l'arête 14 – 15, soit l'arête 6 – 7. Sinon le sommet  $u$  est dans  $C_2$  puisque ces arêtes n'existent pas dans  $C_2$ . Ainsi 4 rondes de communications sont suffisantes pour que chaque sommet  $u$  calcule  $B(u, 4)$ . On teste alors si  $u$  est dans  $C_1$  ou  $C_2$ . Ensuite on exécute le bon algorithme qui coûte 0 ronde. Au total cela prend 4 rondes.

In the lecture, we showed that any  $k$ -coloring algorithm running in  $t$  rounds can be seen as a mapping from  $t$ -views to  $[0, k[$ . Recall that a  $t$ -view of a vertex  $u$  is the sequence of all the IDs that  $u$  contains in its ball of radius  $t$ , i.e., the IDs that  $u$  can see after  $t$  rounds of communication. For a cycle with  $n$  vertices, this is a sequence of  $2t + 1$  unique integers taken from  $[0, n[$ . For instance, in  $C_1$  the 3-view of vertex with ID 4 is the sequence  $(1, 2, 3, \boxed{4}, 5, 6, 7)$  assuming a clockwise orientation.

**Question 9.** Show that any 2-coloring algorithm for both  $C_1$  and  $C_2$  requires at least 4 rounds. [Hint: Consider the 3-views for vertices with IDs 3 and 10 for instance.]

**SOLUTION.** Dans  $C_1$  et  $C_2$  les sommets 3 et 10 ont les mêmes vues et pourtant sont à des distances resp. impaire et paire. Donc quelque soit le mapping de ces vues vers les couleurs 0 ou 1, cela sera incorrect pour au moins l'un des deux graphes car si la distance est paire les sommets doivent être de la même couleur, et opposée sinon.

**Question 10.** Show that, more generally, any 2-coloring algorithm for cycles of  $n$  vertices requires  $\Omega(n)$  rounds. [Hint: Consider some cycles with  $n \geq 4(t+1)$  vertices, and argue about the  $t$ -views of some specific vertices.]

SOLUTION. On prend  $t$  le plus grand entier tel que  $n \geq (4t+2) + 2$ , soit  $t = \Omega(n)$ . On peut alors construire deux cycles chacun ayant deux  $t$ -voisinages disjoints, puisque cela fait  $2 \cdot (2t+1) = 4t+2 < n$  sommets. Il reste deux sommets (au moins) permettant de placer le centre des  $t$ -vues soit à distance pair ou impair l'un de l'autre, ce qui mène à une contradiction pour une 2-coloration. Il faut donc  $> t = \Omega(n)$  rondes.

## Fast Palette Reduction

The goal of this part is to design a  $(\Delta + 1)$ -coloring for any graph of maximum degree  $\Delta$ . We have seen in the lecture such an algorithm running in  $\log^* n + 2^{O(\Delta)}$  rounds. We will focus on the second phase of the algorithm, the palette reduction, that takes about  $2^{O(\Delta)}$  rounds to decrease the palette from  $[0, 3^\Delta[$  to  $[0, \Delta]$ .

**Question 11.** Give an accurate bound on the number of rounds it takes to perform this palette reduction?

SOLUTION. Cela prend  $3^\Delta - (\Delta + 1)$  rondes.

In order to perform a faster palette reduction, we will remove several colors in a single rounds, as done in Question 3. Assume that after the first phase, we have a coloring  $c$  with palette  $[0, k[$  and that each vertex see at most  $d$  different colors in its neighborhood. In other words,  $d = \max_u |c(N(u))|$ . For simplicity we assume that  $k = sd + s$  for some integer  $s \geq 2$ .

The goal is to reduce the initial palette from  $[0, k[ = [0, sd + s[$  to  $[0, sd[$  by removing the  $s$  largest colors of  $[0, k[$ . For this, every vertex  $u$  with a color in  $[sd, sd + s[$  applies in parallel a `FIRSTFREE`( $X \cup I_i$ ), where  $X = c(N(u))$  and  $I_i$  is some subinterval of  $[0, sd[$  depending on the color  $i = c(u)$  of  $u$  and whose aim is to avoid collisions. Indeed, two neighbors of different colors in  $[sd, sd + s[$  will apply in parallel `FIRSTFREE`.

To make more concrete this technique, consider the following example where  $k = 9$ ,  $d = 2$  and  $s = 3$ . The initial palette is  $[0, k[ = [0, sd + s[ = [0, 3 \cdot 2 + 3[ = [0, 9[$  and the goal is to reduce it to  $[0, 6[$  by removing the colors 6, 7, 8 in a single round. These latter colors are called *special*. Consider a vertex  $u$  with a special color. The round of communication consists in exchanging the color of  $u$  with its neighbors. Let  $X = c(N(u))$  be the set of colors of  $u$ 's neighbors. If  $X$  does not contain any special color, then  $u$  can recolor with `FIRSTFREE`( $X$ ) since none of its neighbors are concerned with a recoloring. Otherwise, split the palette  $[0, k[ = [0, sd + s[$  into  $s$  subintervals of length  $d$  followed by the  $s$  special colors. Namely, split  $[0, 9[$  into  $[0, 1] \cup [2, 3] \cup [4, 5] \cup [6, 7, 8]$ . Then, the recoloring is as follows: if  $c(u) = 6$ , then  $u$  tries to recolor in  $[0, 1]$  using a `FIRSTFREE`( $X$ ); if  $c(u) = 7$ , then  $u$  tries to recolor in  $[2, 3]$  using a `FIRSTFREE`( $X \cup [0, 2[$ ); and if  $c(u) = 8$ , then  $u$  tries to recolor in  $[4, 5]$  using a `FIRSTFREE`( $X \cup [0, 4[$ ). In other words,  $u$  tries to recolor in the subinterval corresponding to the rank of its special color. The rank of the special color of  $u$  is precisely  $c(u) - sd$ . Note that intervals of length  $d$  suffice. Indeed, if two neighbors are of special colors, then they can see only  $d - 1$  non-special colors each or less (instead of  $d$ ). And thus `FIRSTFREE` will necessarily find a free color in an interval of length  $(d - 1) + 1 = d$ .

**Question 12.** Formalized the above algorithm that reduces the palette from  $[0, sd + s[$  to  $[0, sd[$  in one round.

SOLUTION.

NEWPULSE

SEND( $v, c(u)$ ) pour tout voisin  $v$

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X := U_v RECEIVE(v)
Si c(u) \in [sd, sd+s[
  Si X intersecte [sd, sd+s[, alors X := X \cup [0, (c(u)-sd)d[.
  c(u) := \FF(X)

```

In the remaining, we fix  $d = \Delta$ . We will apply iteratively the Fast Palette Reduction (FPR) as in Question 12, possibly with a different parameter  $s$ , until we get a palette of  $2\Delta$  colors or less. From that point the FPR does not apply anymore and a  $O(\Delta)$ -round classical palette reduction is needed to get the wanted  $(\Delta + 1)$ -coloring.

More precisely, let  $s_0, s_1, \dots, s_t$  be some reals such that: (1) The initial palette is  $[0, k[ = [0, s_0\Delta[$ ; (2) At step  $i \in \{1, \dots, t\}$ , whenever FPR is applied, the palette reduces from  $[0, s_{i-1}\Delta[ = [0, s_i\Delta + s_i[$  to  $[0, s_i\Delta[$ .

In the remaining, we will neglect the fact that some  $s_i$  are not necessarily integers<sup>1</sup>.

**Question 13.** Express  $s_i$  as fonction of  $i$ ,  $\Delta$  and  $k$ .

**SOLUTION.** Il faut  $k = s_0\Delta$ , d'où  $s_0 = k/\Delta$ . Pour  $i > 0$ , il faut  $s_{i-1}\Delta = s_i(\Delta + 1)$  soit  $s_i = (\Delta/(\Delta + 1)) \cdot s_{i-1}$ . Soit  $c = \Delta/(\Delta + 1)$ . Donc  $s_i = c \cdot s_{i-1} = c^2 \cdot s_{i-2} = c^j \cdot s_{i-j} = c^i \cdot s_0 = c^i \cdot (k/\Delta)$ .

**Question 14.** Find the smallest number  $t$  of FPR steps so that the final palette is  $[0, 2\Delta[$  or smaller. Overall, what is the complexity of the resulting new  $(\Delta + 1)$ -coloring algorithm? [Hint: Assume known the inequality  $1/(x + 1) < \ln(1 + 1/x) < 1/x$  for every  $x > 0$ .]

**SOLUTION.** On cherche le plus petit  $t$  tq  $s_t \leq 2$ . Il faudra penser à rajouter  $O(\Delta)$  rondes supplémentaires pour passer de  $2\Delta$  à  $\Delta + 1$  couleurs. On a  $s_t = c^t s_0 \leq 2$ . C'est équivalent à  $c^t \leq 2/s_0$  ou encore  $(1/c)^t \geq s_0/2 \Leftrightarrow (1 + 1/\Delta)^t \geq s_0/2 \Leftrightarrow e^{t/(\Delta+1)} > s_0/2 \Leftrightarrow t/(\Delta + 1) > \ln(s_0/2) \Leftrightarrow t > (\Delta + 1) \ln(k/(2\Delta)) = (\Delta + 1) \ln(2^{O(\Delta)}) = \Theta(\Delta^2)$ . Donc le plus petit nombre  $t$  recherché est en  $\Theta(\Delta^2)$ , ce qui implique bien que  $s_t \leq 2$  comme requis. Au total  $\lceil t \rceil + O(\Delta) = O(\Delta^2)$  rondes.

In a celebrate paper of 1992, Nathan Linial showed how to find a  $O(\Delta^2)$ -coloring in  $O(\log^* n)$  rounds.

**Question 15.** Using this Linial's precoloring, and combining with Question 14, design an even faster  $(\Delta + 1)$ -coloring algorithm. What is its complexity?

**SOLUTION.** Utiliser la coloration de Linial, puis faire la FPR. Le nombre de rondes est donc  $O(\log^* n) + t$  avec  $t = O(\Delta \ln(k/\Delta)) = O(\Delta \ln \Delta)$ .

**FIN.**

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<sup>1</sup>Otherwise we will have to consider  $\lfloor s_i \rfloor$  instead of  $s_i$ , which is awkward and does not affect anyway the asymptotic results.