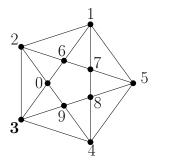
Smaller Universal Graphs for Caterpillars and Graphs of Bounded Pathwidth

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2nd Workshop Complexity and Algorithms (CoA) Institut Henri Poincaré – Paris September 26th, 2022

Representation of Graphs



adj	ac	eno	сy	list
0	2	3	6	9
1	$ \begin{array}{c} 2 \\ 2 \\ 0 \end{array} $	5	6	7
2	0	1	3	6
3	$\begin{array}{c} 0 \\ 3 \end{array}$	2	4	9
4	3	5	8	9
5	1	4	$\overline{7}$	8
0 1 2 3 4 5 6 7 8	0	1	2	7
7	1	5	6	8
8	4	$\frac{5}{5}$	7	9
9	0	3	4	8

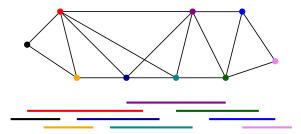
adjacency matrix

	0			3						
0	0	0	1	1	0	0	1	0		
1	0	0	1	0	0	1	1	1	0	0
2	1	1	0	1	0	0	1	0	0	0
3	1	0	1	0	1	0	0	0	0	1
4	0	0	0	1	0	1	0	0	1	1
5	0	1	0	0	1	0	0	1	1	0
6	1	1	1	0	0	0	0	1	0	0
7	0	1	0	0	0	1	1	0	1	0
8	0	0	0	0	1	1	0		0	
9	1	0	0	1	1	0	0	0	1	0

1 node = 1 pointer in the data-structure

Implicit Representation

Idea: Associate with each node some information and only use the information of any two nodes to determine whether they are adjacent.



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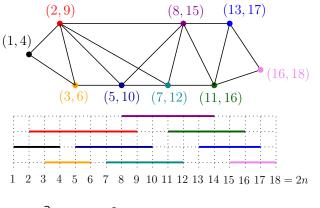
An adjacency labeling scheme for a graph family \mathscr{F} is a pair of functions (ℓ, f) such that $\forall G \in \mathscr{F}, \forall u, v \in V(G)$

- Encoder: $\ell(u, G)$ is a label (binary string)
- Decoder: $f(\ell(u, G), \ell(v, G))$ is true $\Leftrightarrow uv \in E(G)$

Goal: minimize label size (in bits) ... and time complexities of ℓ . *f*

Ex: Interval Graphs

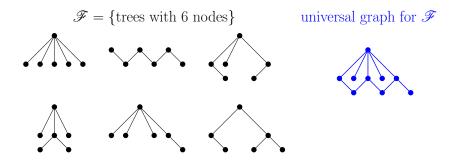
 $\ell(u,G) := (l_u, r_u) \text{ with } l_u < r_u \in \{1, \dots, 2n\}$ $f((l_u, r_u), (l_v, r_v)) := \text{true} \quad \Leftrightarrow \quad [l_u, r_u] \cap [l_v, r_v] \neq \emptyset$



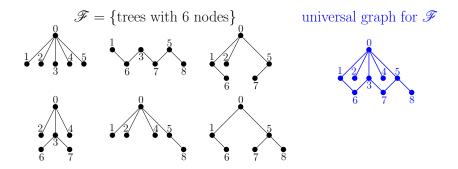
 $\Rightarrow \binom{2n}{2} < 2n^2$ labels $\Rightarrow 2\log n + O(1)$ bit/label

Universal Graph

Babai, Chung, Erdös, Graham, Spencer 1982 A graph U is an induced-universal graph for \mathscr{F} if every graph of \mathscr{F} is isomorphic to some induced subgraph of U.



Universal Graph vs. Labeling Scheme



k-bit labels \Leftrightarrow universal graph of 2^{*k*} nodes

family	label size	U. graph size	refs.
treewidth k	$\log n + O(k \lg \lg n)$	$n \cdot (\log n)^{O(k)}$	[GL07]
planar, H ⊠ P	$\log n + \tilde{O}(\sqrt{\lg n})$	$n^{1+o(1)}$	[DEGJx21]

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outerplanar Δ	$\log n + O_{\Delta}(1)$	$O_{\Delta}(n)$	[C90][AR14]
trees	$\log n + O(1)$	<i>O</i> (<i>n</i>)	[ADK17]
$\Delta \leq 2$	$\log n + O(1)$	2n	[AAHKS20]

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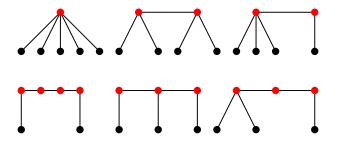
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bounded pw	$\log n + O(1)$	<i>O</i> (<i>n</i>)	new

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Preliminary results with linear size for some trees: binary [BLG06], bounded-degree [BGL07][AR14], bounded-depth [FK10]

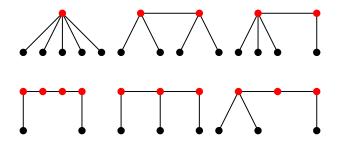
I. Universal Graph for Caterpillars

A caterpillar forest is a forest in which the nodes of degree at least two induce paths.



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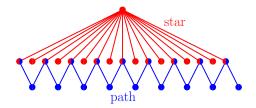
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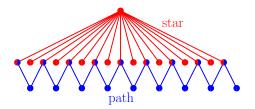
Theorem 1

Caterpillar forests with *n* nodes have a universal graph \mathcal{U}_n with less than 8n nodes.

Every universal graph for the star and the path with $n \ge 5$ nodes requires $\lfloor 3n/2 \rfloor$ nodes.



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Recently. Every family of three *n*-node caterpillars has a universal graph with at most $3\lfloor n/2 \rfloor + 3$ nodes.

- independent set *S* of 6*n* nodes
- layers L_i of $n/2^i$ nodes for *i* from 0 to $\lceil \log(n/6) \rceil$

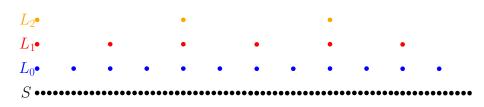
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- independent set S of 6n nodes
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- independent set S of 6n nodes
- layers L_i of $n/2^i$ nodes for i from 0 to $\lceil \log(n/6) \rceil$ $[\Rightarrow 6n + \sum_{i \ge 0} n/2^i < 8n \text{ nodes } \rceil$

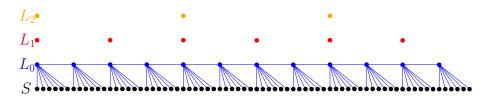


Nodes:

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Each $u \in L_i$ is adjacent to:

- an interval I(u) of $6 \cdot 2^i$ nodes of S
- its predecessor and successor in *L_i* and all nodes vertically below

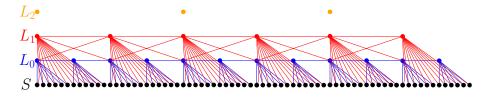


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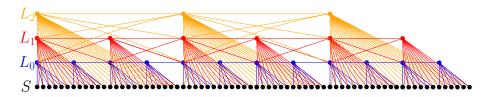


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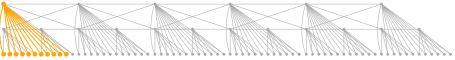
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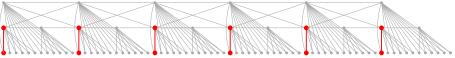


Embedding Caterpillars Forests in \mathscr{U}_{12}

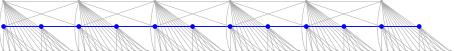
Star of 12 nodes



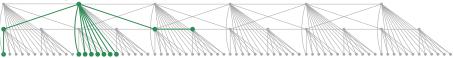
Matching of 6 edges



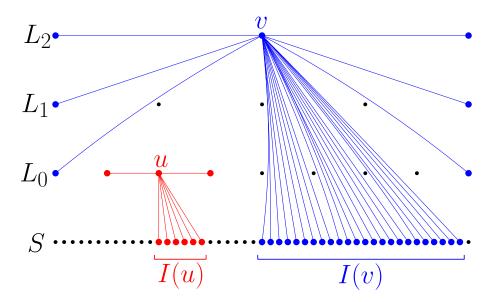
Path of 12 nodes



Some caterpillar of 12 nodes



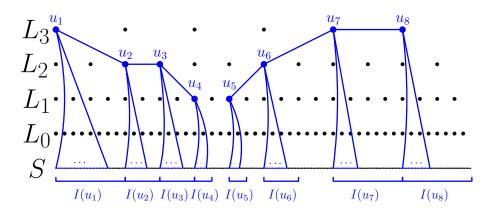
Adjacency in \mathscr{U}_n

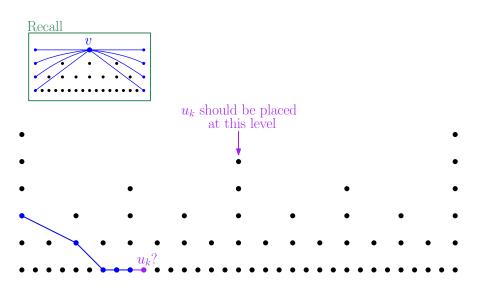


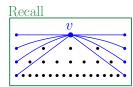
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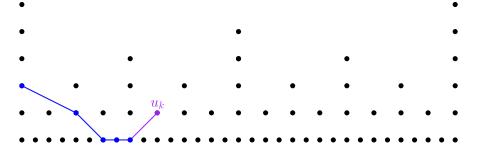
 $\delta(u) :=$ number of leaves adjacent to internal node u

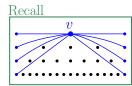
Basic idea: Embed each internal node u_k in $u \in \mathcal{U}_n$ such that I(u) contains at least $\delta(u)$ nodes.

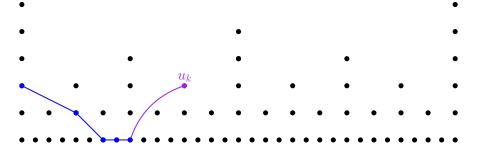


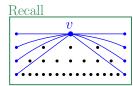


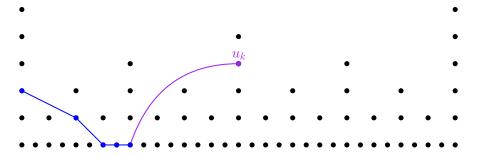


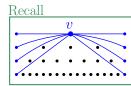


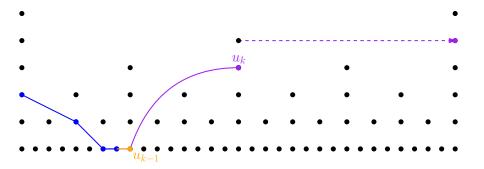


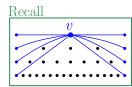


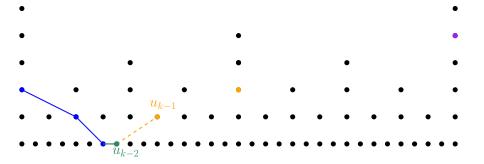


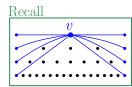


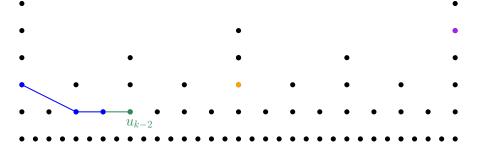


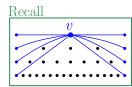


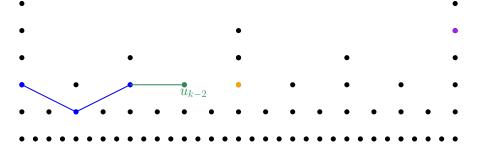


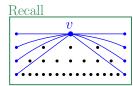


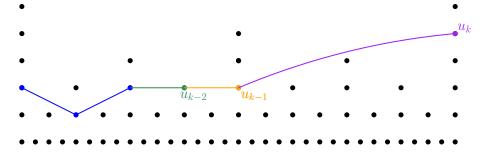








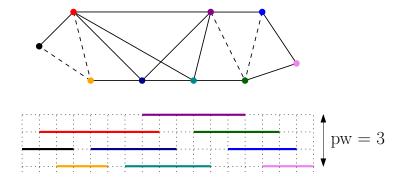




II. Graphs of Pathwidth p

The pathwidth of G is the maximum clique size minus one of an interval graph containing G as subgraph.

 $(p = 1 \Leftrightarrow G \text{ is a caterpillar forest})$



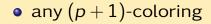
Adjacency Labeling Scheme

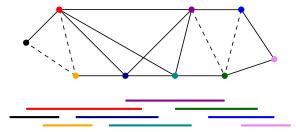
Theorem 2

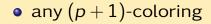
There is an adjacency labeling scheme for graphs of n nodes and pathwidth p with $\log n + O(p)$ -bit labels. \Rightarrow universal graph of $n \cdot 2^{O(p)}$ nodes.

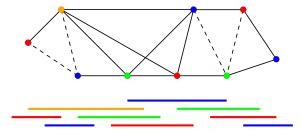
- previous upper bound: $\log n + O(p \log \log n)$ bits
- matching lower bound: $\log n + \Omega(p)$ bits

 $[\ge \frac{1}{n} \log (\mathscr{L}_{n,p}) \text{ bits where } \mathscr{L}_{n,p} = n! \cdot 2^{\Theta(np)} \text{ is the number of pathwidth-} p \text{ labeled graphs with } n \text{ nodes }]$



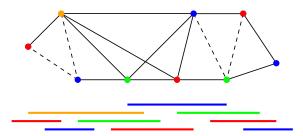






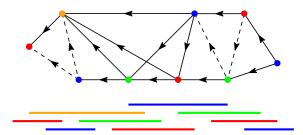
- any (p+1)-coloring
- simplicial *p*-orientation:

$$u \rightarrow v \quad \Leftrightarrow \quad v - u \text{ or } v - u$$



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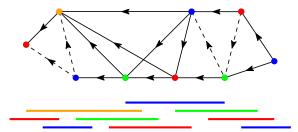
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- any (*p*+1)-coloring
- simplicial *p*-orientation:

$$u \rightarrow v \quad \Leftrightarrow \quad v - u \quad \text{or} \quad v - u$$

list of missing colors of out-neighbors



 $\Rightarrow 2 \log n + p + O(\log p)$ -bit labeling scheme NB: interval graphs requires $2 \log n - o(\log n)$ bits.

- in each component, start with the interval with the leftmost left end
- at each step, take as the next interval the neighbor with the rightmost right end

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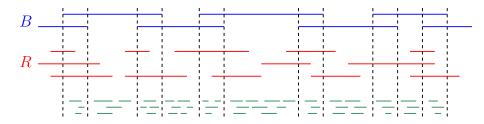
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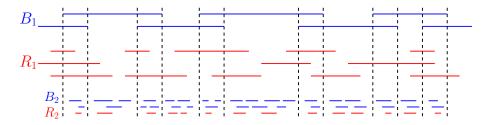
 \Rightarrow every interval is contained in the union of two consecutive intervals of the blue path

Three kinds of intervals:

- the blue intervals *B* of the dominating path
- the red intervals *R* that contain at least one end of a blue interval
- the remaining green intervals

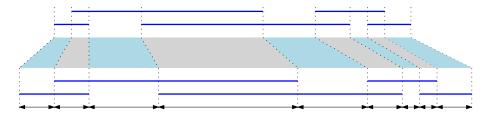


- green intervals have thickness strictly less than the original
- repeat this process to obtain a decomposition into (B₁, R₁), (B₂, R₂), ..., (B_{p+1}, R_{p+1})



Enlarge the spaces between two consecutive ends such that for blue intervals:

- the spaces between ends are power of 2
- two consecutive spaces differ only by a x2



... from B_{p+1} to $B_1 \Rightarrow$ ends $\in [1, n \cdot 2^{O(p)}]$

Blue Node Encoding

In the label of each blue interval, we encode:

- the new left end $l \in [1, n \cdot 2^{O(p)}]$
- the integers k_0 , k_1 and k_2
- the layer index (= index *i* of B_i containing it)
- some more information (more details later)

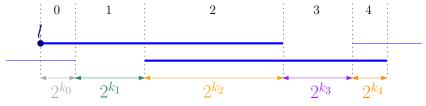


NB: (l, k_0) can be encoded with $\log n + O(p)$ bits

Red Node Encoding

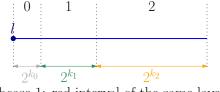
In the label of each red interval, we encode information relative to the two blue intervals containing it:

- the left end *l* of the first blue interval
- the integers k_0, k_1, k_2, k_3 and k_4
- the range $\subseteq [0, 4]$ where the interval falls into
- the layer index
- some more information (more details later)



The node with the smaller layer index is blue

 \Rightarrow adjacency is easy since one can compute exactly the ends of the <u>blue</u> interval



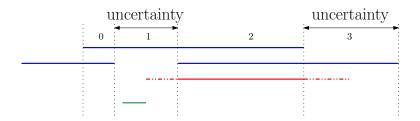
subcase 1: red interval of the same layer

subcase 2: interval (blue or red) with greater layer

Adjacency: Hard Case

If the node with the smaller layer index is red

 \Rightarrow adjacency is harder to determine since one can only compute some range containing the ends of the red interval



Hint: store O(p) bits more

Adjacency: Hard Case

Each **red** interval stores the rank of its ends (sorted by length) inside its uncertainty **blue** zone

encode for each layer the number of intersecting red intervals of this layer: 0,3,2 $\Rightarrow O(p)$ bits

 \Rightarrow the node (blue or red) can check if the red interval corresponds to an interval intersecting it

Conclusion and Open Problems

Summary

- Universal graph \mathcal{U}_n for caterpillar forests $3n/2 \leq |V(\mathcal{U}_n)| < 8n$ (pathwidth p = 1)
- Universal graph for graphs of pathwidth p with $n \cdot 2^{\Theta(p)}$ nodes $(\log n + \Theta(p))$ -bit labels)

Open Problems

- Improve bounds for caterpillars (universal graph)
- $\log n + \Theta(\log p)$ -bit labels for interval graphs of maximum clique size p?
- $\log n + \Theta(t)$ -bit labels for graphs of treewidth t?