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# Tight stretch factors for $L_1$ - and $L_\infty$ -Delaunay triangulations

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#### ABSTRACT

In this paper we determine the exact stretch factor of  $L_{\infty}$ -Delaunay triangulations of points in the plane. We do this not only when the distance between the points is defined by the usual  $L_2$ -metric but also when it is defined by the  $L_p$ -metric, for any  $p \in [1, \infty]$ . We then apply this result to compute the exact stretch factor of  $L_1$ -Delaunay triangulations when the distance between the points is defined by the  $L_1$ -,  $L_{\infty}$ -, or  $L_2$ -metric.

In the important case of the  $L_2$ -metric, we obtain that the stretch factor of  $L_1$ -Delaunay and  $L_{\infty}$ -Delaunay triangulations is exactly  $\sqrt{4 + 2\sqrt{2}} \approx 2.61$ . This is the first time that the stretch factor of an  $L_p$ -Delaunay triangulation, for any  $p \in [1, \infty]$ , is determined exactly. We show, in particular, how to construct between any two points a and b of an  $L_1$ -Delaunay or  $L_{\infty}$ -Delaunay triangulation a path whose length is no more than  $\sqrt{4 + 2\sqrt{2}}$ times the Euclidean distance between a and b. This improves the bound of  $\sqrt{10}$  by Chew (SoCG '86) [5]. We also describe families of point sets whose  $L_1$ -Delaunay or  $L_{\infty}$ -Delaunay triangulation has a stretch factor that can be made arbitrarily close to  $\sqrt{4 + 2\sqrt{2}}$ .

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# 1. Introduction

Given a set of points P in the plane, the Voronoï diagram of P is the subdivision of the plane into Voronoï regions, one region V(u) for each point u of P, such that region V(u) contains those points in the plane that are closer to u than to any other point of P (see for instance [1, Chapter 2]). The Voronoï diagram can be viewed as a plane graph whose faces are the Voronoï regions and whose vertices are the points in the plane that lie on the boundary of three (or more) regions. The Delaunay graph on P is the dual graph, with vertex set P, of the Voronoï diagram. The Delaunay graph can naturally be viewed as a weighted graph in which the weight of an edge is the distance, typically Euclidean, between its endpoints. When the points of P are in general position (defined precisely in Section 2), the Voronoï diagram has maximum degree three and all internal faces of the Delaunay graph are triangles; the Delaunay graph is then referred to as the Delaunay triangulation on P.

Delaunay triangulations have broad applications in Computer Science including surface construction, meshing, and visualization (see [2], for example, for an overview of applications). We are particularly motivated by applications (such as wireless communication network construction [3] and online routing in such networks [4]) that use the triangulation as

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Paper	Graph	Metric	Stretch factor
[7]	L <sub>2</sub> -Delaunay	L <sub>2</sub>	$\pi  (1 + \sqrt{5})/2 \approx 5.08$
[8]	L <sub>2</sub> -Delaunay	L <sub>2</sub>	$4\pi/(3\sqrt{3}) \approx 2.41$
[9]	L <sub>2</sub> -Delaunay	L <sub>2</sub>	1.998
[6]	TD-Delaunay	L <sub>2</sub>	2
[5]	$L_1$ -, $L_\infty$ -Delaunay	L <sub>2</sub>	$\sqrt{10} \approx 3.16$
[this paper]	$L_1$ -, $L_\infty$ -Delaunay	L <sub>2</sub>	$\sqrt{4+2\sqrt{2}}pprox 2.61$
[this paper]	$L_1$ -, $L_\infty$ -Delaunay	$L_1, L_\infty$	3
[this paper]	$L_\infty$ -Delaunay	$L_p \ (p \in [1, \infty])$	see Fig. 1

 Table 1

 Key stretch factor upper bounds (tight bounds are bold).

a *spanner* of the Euclidean graph on *P*, defined as a spanning subgraph in which the distance in the subgraph between any pair of points is no more than a constant multiplicative ratio of the Euclidean distance between the points. The constant ratio is typically referred to as the *stretch factor* of the spanner. While the Delaunay triangulation has been studied extensively, obtaining a tight bound on its stretch factor has been elusive even after decades of attempts.

In the mid-1980s, it was not known whether a Delaunay triangulation is, in general, a spanner. In order to gain an understanding of the spanning properties of Delaunay triangulations, Chew considered related structures. In his seminal 1986 paper [5], he proved that an  $L_1$ -Delaunay triangulation – the dual of the Voronoï diagram of P based on the  $L_1$ -metric rather than the  $L_2$ -metric – has a stretch factor bounded by  $\sqrt{10}$ . Chew then continued on and showed that a TD-Delaunay triangulation – the dual of a Voronoï diagram defined using a *Triangular Distance*, a distance function not based on a circle ( $L_2$ -metric) or a square ( $L_1$ -metric) but on an equilateral triangle – has a stretch factor of 2 [6]. This bound is, in fact, tight: one can construct TD-Delaunay triangulations with stretch factor arbitrarily close to 2. Finally, Dobkin et al. [7] showed that the ( $L_2$ -) Delaunay triangulation of P is a spanner as well. The bound on the stretch factor they obtained was subsequently improved by Keil and Gutwin [8] as shown in Table 1. The bound by Keil and Gutwin stood unchallenged for many years until Xia recently improved the bound to below 2 [9].

There has also been some progress recently on understanding the lower bound on the stretch factor of an ( $L_2$ -) Delaunay triangulation. The trivial lower bound of  $\pi/2 \approx 1.5707$  has been improved to 1.5846 [10] and then to 1.5932 [11]. A large gap between the lower and upper bound still remains, however, and it is not clear at all that the techniques currently being used are appropriate for obtaining a tight bound on the stretch factor of a Delaunay triangulation.

While much effort has been made on studying the stretch factor of  $L_2$ -Delaunay triangulations, since Chew's original work little has been done on  $L_p$ -Delaunay triangulations for  $p \neq 2$ . It is known that  $L_p$ -Delaunay triangulations are spanners: Bose et al. [12] have shown that Delaunay triangulations that are based on a convex distance function are spanners whose stretch factor depends only on the shape of the associated convex body. However, due to the general approach, the bounds on the stretch factor that they obtain are loose: the bound for  $L_2$ -Delaunay triangulations, for example, is greater than 24.

The overall picture is that, in spite of much effort and with the exception of the triangular distance, the exact value of the stretch factor of Delaunay triangulations based on any convex function is unknown. In particular, the stretch factor of  $L_p$ -Delaunay triangulations is unknown for any  $p \in [1, \infty]$ .

*Our contributions* We show that the exact stretch factor of  $L_1$ - and  $L_{\infty}$ -Delaunay triangulations is  $\sqrt{4 + 2\sqrt{2}} \approx 2.61$ , ultimately improving the upper bound of  $\sqrt{10} \approx 3.16$  obtained by Chew [5]. This bound applies to the (traditional) case when the edge weight function is the Euclidean distance between its endpoints.

In addition to obtaining a tight bound on the stretch factor when the edge weight function is the Euclidean distance (i.e.,  $L_2$ -metric), we also obtain a tight bound on the stretch factor of  $L_{\infty}$ -Delaunay triangulations when the edge weight function is the  $L_p$ -metric, for any  $p \in [1, \infty]$  (see Fig. 1). In this case, the weight of each edge in the triangulation is the distance between its endpoints according to the  $L_p$ -metric; the stretch factor is computed by comparing for every pair of points their distance in the triangulation with their distance in the plane according to the  $L_p$ -metric. From this general result we deduce that the stretch factor of  $L_1$ - and  $L_{\infty}$ -Delaunay triangulations is exactly 3 when the edge weight function is the  $L_1$ - or  $L_{\infty}$ -metric.

## 2. Preliminaries

We consider a finite set *P* of points in the two-dimensional plane with an orthogonal coordinate system consisting of a horizontal *x*-axis and a vertical *y*-axis. The coordinates of a point *u* will be denoted  $(x_u, y_u)$ . The  $L_p$ -norm of *u* is  $||(x_u, y_u)||_p = (|x_u|^p + |y_u|^p)^{1/p}$  for  $p \in [1, \infty)$ ; for  $p = \infty$ ,  $||(x_u, y_u)||_p = \lim_{p' \to \infty} ||(x_u, y_u)||_{p'} = \max(|x_u|, |y_u|)$ . Given points *u* and *v*, let  $\Delta_x(u, v) = |x_v - x_u|$  and  $\Delta_y(u, v) = |y_v - y_u|$ . The  $L_p$ -metric is the metric defined by

$$d_p(u, v) = \left\| \left( \Delta_x(u, v), \Delta_y(u, v) \right) \right\|_p$$



**Fig. 1.** The exact stretch factor of  $L_{\infty}$ -Delaunay triangulations with respect to the  $L_p$ -metric. When p is 1 or  $\infty$  the stretch factor is 3. The stretch factor for p = 2 is  $\sqrt{4 + 2\sqrt{2}} \approx 2.613125929$ . The stretch factor is minimal for p = 1.814669163 when its value is 2.608358089.

We denote by  $\mathscr{E}_p^P$  (or simply  $\mathscr{E}_p$  when the set of points *P* is clear from the context) the complete weighted graph whose vertex set is *P* and whose edge weight function, for any edge  $(u, v) \in \mathscr{E}_p$ , is  $d_p(u, v)$ . The graph  $\mathscr{E}_2$ , in particular, is known as the *complete Euclidean graph*.

The length of a path in a weighted graph is the sum of the weights of the edges of the path and the distance in the graph between two vertices is the length of the shortest path between them. We say that a subgraph H of a weighted graph G is a *t*-spanner of G if for any pair of vertices u, v of G, the distance between u and v in H is at most t times the distance between u and v in G; the constant t is referred to as the *stretch factor* of H (with respect to G).

In this paper we study spanners of the graph  $\mathcal{E}_p$ , for  $p \in [1, \infty]$ , defined on a finite set of points *P*. Given a subgraph *H* of  $\mathcal{E}_p$  and points  $u, v \in P$ , we denote by  $d_p^H(u, v)$  the distance between *u* and *v* in *H*; note that  $d_p^H(u, v) = d_p(u, v)$  if (u, v) is an edge of *H*. Therefore *H* is a *t*-spanner of  $\mathcal{E}_p$  if

$$d_n^H(u, v) \leq t \cdot d_p(u, v)$$

for all pairs of points u and v in P. We say that H is a t-spanner of P (or simply a t-spanner) with respect to the  $L_p$  metric if it is a t-spanner of  $\mathcal{E}_n$ .

We define a *family of spanners* to be a set of graphs  $H^P$ , one for every finite set P of points in the plane, such that for some constant t > 0,  $H^P$  is a *t*-spanner of  $\mathcal{E}_p^P$  for every set of points P. We say that the stretch factor of the family is *exactly* t with respect to the  $L_p$  metric if: 1)  $H^P$  is a *t*-spanner of  $\mathcal{E}_p^P$  for every set of points P and 2) for every  $\epsilon > 0$  there exists a set of points P such that  $H^P$  is *not* a  $(t - \epsilon)$ -spanner of  $\mathcal{E}_p^P$ .

Defining  $L_1$ -Delaunay triangulations The families of spanners we consider are the  $L_1$ - and  $L_\infty$ -Delaunay triangulations on a set P of points in general position (which we define precisely at the end of this section). As we saw in the introduction, the  $L_p$ -Delaunay triangulation on P, for  $p \in [1, \infty]$ , can be defined as the dual graph of the Voronoï diagram of P based on the  $L_p$ -metric. We will use an alternative, more direct definition though. A property of  $L_p$ -Delaunay triangulations — shared by all Delaunay triangulations based on a convex distance function — is that for each interior face, or triangle, of the triangulation there is an associated convex body (e.g., square for  $L_1$ - or  $L_\infty$ - and circle for  $L_2$ -Delaunay triangulations) that contains the vertices of the triangle on its boundary and that contains no point of P in its interior (or, said more succinctly, that is *empty*). More specifically, let a *square* in the plane be a square whose sides are parallel to the x- and y-axis and let a *tipped square* be a square rotated by 45°. For every triple of points u, v,  $w \in P$ , u, v, w form an interior face (triangle) in the  $L_1$ -Delaunay triangulation on P if and only if there is an empty tipped square that contains u, v, w on its boundary [5].

This property does not completely define  $L_1$ -Delaunay triangulations however, because some edges of an  $L_1$ -Delaunay triangulation may not border an interior face (when the points in *P* are collinear, in fact, no edge borders an interior face). The following, however, does completely define the  $L_1$ -Delaunay triangulation on a set of points *P*: for every pair of points  $u, v \in P$ , (u, v) is an edge in the  $L_1$ -Delaunay triangulation on *P* if and only if there is an empty tipped square that has u and v on its boundary [5]. We note that this definition assumes that no four points of *P* lie on the boundary of an empty tipped square.

From  $L_1$ - to  $L_\infty$ -Delaunay triangulations If a square, rather than a tipped square, is used in this last definition then a different triangulation is defined; it corresponds to the dual of the Voronoï diagram based on the  $L_\infty$ -metric. We refer to this triangulation as the  $L_\infty$ -Delaunay triangulation. This triangulation is nothing more than the  $L_1$ -Delaunay triangulation of the set of points *P* after rotating all the points by 45° around the origin. Chew's bound of  $\sqrt{10}$  on the stretch factor, with



**Fig. 2.** (a) The  $L_{\infty}$ -Delaunay triangulation is not well defined when four points lie on the boundary of an empty square. (b) Another set of points for which the  $L_{\infty}$ -Delaunay triangulation is not well defined. In this example, points *a* and *b* lie on one side (N) of square  $S_1$  and  $c_1$  lies on an adjacent side (E). The restriction that no four points of *P* lie on the boundary of an empty square implies that if *a*, *b*,  $c_1 \in P$  and  $S_1$  is empty then  $c_2, c_3 \notin P$  and, in fact, no point of *P* could lie in the plane quadrant defined by sides N and E of square  $S_1$ .

respect to the Euclidean distance, of the  $L_1$ -Delaunay triangulation [5] applies to  $L_{\infty}$ -Delaunay triangulations as well. In this paper, most of our arguments focus on  $L_{\infty}$ -Delaunay triangulations and use squares (rather than tipped squares). When we refer to the *side* of a square, we assume it includes the square vertices; in other words, sides intersect at the square vertices. To differentiate the four sides of a square we use the notation N (for the north side), E (east), S (south), and W (west). We also use this notation to describe the relative position of an edge connecting two points lying on the boundary of a square: for example, a WN edge connects a point on the W side and a point on the N side.

*General position requirement for*  $L_{\infty}$ -*Delaunay triangulations* One issue with  $L_{\infty}$ -Delaunay triangulations (in fact, all Delaunay triangulations) is that they are not well-defined for all sets of points *P*. If four points of *P* happen to lie on the boundary of an empty square then the  $L_{\infty}$ -Delaunay triangulation on *P*, using our working definition, would contain the two ways to triangulate the four points – as illustrated in Fig. 2(a) – and is thus not plane (with interior faces that are triangles) at all. We therefore restrict *P* to be a set of points such that no four points lie on the boundary of an empty square. In fact, in order to avoid technical complications in our proofs and pathological examples such as the one illustrated by Fig. 2(b), we choose to restrict *P* a bit further and insist that no two points of *P* lie on the same side of an empty square. Note that this implies that endpoints of an edge in the  $L_{\infty}$ -Delaunay triangulation must have different *x*- and *y*-coordinates.

In summary, the assumption in this paper will be that the points of *P* are in *general position*, which for us means that no four points lie on the boundary of an empty square and that no two points lie on the same side of an empty square.

#### 3. The lower bound

In this section we prove lower bounds on the stretch factor of  $L_1$ - and  $L_\infty$ -Delaunay triangulations. To do this we require three technical lemmas. The first gives a general lower bound for  $L_\infty$ -Delaunay triangulations:

**Lemma 1.** Let  $p \in [1, \infty]$ . For every  $\varepsilon > 0$ , there exists a set P of points in the plane such that the  $L_{\infty}$ -Delaunay triangulation on P with respect to the  $L_p$ -metric has stretch factor at least <sup>3</sup>

$$\max_{y \in [0,1]} s_p(y) - \varepsilon, \quad \text{where } s_p(y) = \frac{1 + 2^{1/p} + y}{\|(1,y)\|_p}.$$

**Proof.** Given  $p \in [1, \infty]$ ,  $y \in [0, 1]$  and a positive integer k, we define the set of points P as follows. Let point a be the origin and let points b,  $c_1$ , and  $c_2$  have coordinates (1, y),  $(\delta, 1 + y - 2\delta)$ , and  $(1 - \delta, 2\delta - 1)$ , respectively, where  $\delta = \frac{1+y}{k+3}$  (see Fig. 3(a)). Additional k points are placed on line segment  $[ac_1]$  and another k on line segment  $[c_2b]$  in such a way that the difference in y-coordinates between successive points on a segment is  $\delta$ . Let  $a = p_0, p_1, p_2, p_3, \ldots, p_k, p_{k+1} = c_1$  be the labels, in order as they appear when moving from a to  $c_1$ , of the points on segment  $[ac_1]$  and let  $c_2 = q_0, q_1, q_2, q_3, \ldots, q_{k+1} = b$  be the labels, in order as they appear when moving from  $c_2$  to b, of the points on segment  $[c_2b]$ .

Consider the square  $S_1$  of side length  $1 - \delta$  and having a and  $p_1$  on its west (left) and north (top) sides, respectively (see Fig. 3(b)). Since  $\Delta_x(a, c_2) = 1 - \delta$  and  $\Delta_y(p_1, c_2) = 1 - \delta$ , point  $c_2$  is exactly the southeast vertex of square  $S_1$ . By symmetry, it follows that for every i = 1, 2, ..., k + 1, if  $S_i$  is the square of side length  $1 - \delta$  with  $p_{i-1}$  and  $p_i$  on its west and north sides, then point  $q_{i-1}$  is exactly the southeast vertex of  $S_i$ . This means that all points  $q_j$  with  $j \neq i - 1$  as well as all points  $p_j$  with  $j \neq i - 1, i$  must lie outside  $S_i$ . Therefore, for every i = 1, 2, ..., k + 1, points  $p_{i-1}$ ,  $p_i$ , and  $q_{i-1}$  define a triangle in the  $L_\infty$ -Delaunay triangulation T on P. A similar argument shows that for every i = 1, 2, ..., k + 1, points  $q_{i-1}$ ,  $q_i$ , and  $p_i$  define a triangle in the  $L_\infty$ -Delaunay triangulation T - illustrated in Fig. 3(a) – as well.

<sup>&</sup>lt;sup>3</sup> Throughout the paper, to simplify the exposition we will abuse notation and, for any function f(), write  $f(\infty)$  instead of  $\lim_{p\to\infty} f(p)$  when this limit is well defined.



**Fig. 3.** (a) An  $L_{\infty}$ -Delaunay triangulation with points in general position. The coordinates of points *a*, *b*, *c*<sub>1</sub>, and *c*<sub>2</sub> are (0,0), (1, *y*), ( $\delta$ , 1 + *y* - 2 $\delta$ ), and (1 -  $\delta$ , 2 $\delta$  - 1), respectively. (b) A closer look at the bottom faces of this triangulation.

Having defined the set of points *P* and described the  $L_{\infty}$ -Delaunay triangulation *T* on *P*, we now analyze the stretch factor of *T*. A shortest path from *a* to *b* in *T* with respect to the  $L_p$ -metric is, for example,  $a, p_1, p_2, \ldots, p_k, c_1, b$ . The length of this path is  $d_p(a, c_1) + d_p(c_1, b)$  which tends to  $1 + y + 2^{1/p}$  as *k* tends to  $\infty$  (and thus  $\delta$  tends to 0). The distance between *a* and *b* is  $||(1, y)||_p$ . Therefore, it is possible to construct an  $L_{\infty}$ -Delaunay triangulation whose stretch factor is arbitrarily close to  $s_p(y)$ .  $\Box$ 

We evaluate the maximum value of function  $s_p(y)$  in the interval [0, 1]:

**Lemma 2.** When  $1 , the maximum of function <math>s_p(y)$  in the interval [0, 1] is

$$((1+2^{1/p})^{p/(p-1)}+1)^{(p-1)/p}$$

and is reached at  $y = y_p = (1 + 2^{1/p})^{1/(1-p)}$ . For p = 1 and  $p = \infty$ , the maximum is 3 and is reached at  $y = y_1 = 0$  for p = 1 and at  $y = y_\infty = 1$  for  $p = \infty$ .

**Proof.** When  $1 , the derivative of <math>s_p(y)$  is

$$s'_{p}(y) = \frac{1 - y^{p-1}(1 + 2^{1/p})}{(1 + y^{p})^{1+1/p}}$$

Let  $y_p = (1 + 2^{1/p})^{1/(1-p)}$ . Since  $0 < y_p < 1$ ,  $s'_p(y_p) = 0$ ,  $s'_p(y) > 0$  for  $y \in (0, y_p)$ , and  $s'_p(y) < 0$  for  $y \in (y_p, 1)$ , the maximum of  $s_p(y)$  for  $y \in [0, 1]$  is reached at  $y = y_p$  and is thus equal to

$$\begin{split} s_p(y_p) &= \frac{1+2^{1/p}+(1+2^{1/p})^{1/(1-p)}}{(1+(1+2^{1/p})^{p/(1-p)})^{1/p}} \\ &= \frac{(1+2^{1/p})(1+(1+2^{1/p})^{p/(1-p)})}{(1+(1+2^{1/p})^{p/(1-p)})^{1/p}} \\ &= (1+2^{1/p})(1+(1+2^{1/p})^{p/(1-p)})^{(p-1)/p} \\ &= ((1+2^{1/p})^{p/(p-1)}(1+(1+2^{1/p})^{p/(1-p)}))^{(p-1)/p} \\ &= ((1+2^{1/p})^{p/(p-1)}+1)^{(p-1)/p}. \end{split}$$

When p = 1,  $s_1(y) = \frac{3+y}{1+y}$ . Because it is a decreasing function of y in the interval [0, 1], the maximum of  $s_1(y)$  is reached at  $y = y_1 = 0$  and thus  $s_1(y_1) = 3$ . When  $p = \infty$ ,  $s_{\infty}(y) = 2 + y$  and, within the interval [0, 1], reaches its maximum of 3 at  $y = y_{\infty} = 1$ .  $\Box$ 

The following lemma shows that a (lower or upper) bound on the stretch factor, with respect to the  $L_1$ -,  $L_2$ -, and  $L_\infty$ -metrics, of  $L_\infty$ -Delaunay triangulations can be extended to  $L_1$ -Delaunay triangulations (and vice-versa):

**Lemma 3.** There is an  $L_{\infty}$ -Delaunay triangulation with stretch factor t with respect to the  $L_2$ -metric (resp.  $L_{\infty}$ -metric,  $L_1$ -metric) if and only if there is an  $L_1$ -Delaunay triangulation with stretch factor t with respect to the  $L_2$ -metric (resp.  $L_1$ -metric,  $L_{\infty}$ -metric).



**Fig. 4.** Degenerate  $L_{\infty}$ -Delaunay triangulations that illustrate the lower bound on the stretch factor with respect to the (a)  $L_1$ -metric, (b)  $L_2$ -metric, and (c)  $L_{\infty}$ -metric.

**Proof.** Consider a set *P* of points in the plane and let *P'* be the set of points that is the image of *P* under a 45° rotation *r* of the plane. Suppose that the  $L_{\infty}$ -Delaunay triangulation *T* on *P* has stretch factor *t* with respect to the  $L_p$ -metric for  $p \in \{1, 2, \infty\}$  and let *T'* be the  $L_1$ -Delaunay triangulation on *P'*.

Observe that there is an edge (r(u), r(v)) in T' if and only there is an edge (u, v) in T since the image, under rotation r, of a square that contains no point of P in its interior and that has u and v on its boundary is a tipped square that contains no point of P' in its interior and that has r(v) on its boundary. Observe also that for all  $u, v \in P$ ,

$$d_2(u, v) = d_2(r(u), r(v)); \qquad d_1(u, v) = \sqrt{2}d_\infty(r(u), r(v)); \qquad d_\infty(u, v) = \frac{1}{\sqrt{2}}d_1(r(u), r(v)).$$

Hence, we have:

$$\frac{d_2^T(u,v)}{d_2(u,v)} = \frac{d_2^{T'}(r(u),r(v))}{d_2(r(u),r(v))}; \qquad \frac{d_1^T(u,v)}{d_1(u,v)} = \frac{d_\infty^{T'}(r(u),r(v))}{d_\infty(r(u),r(v))}; \qquad \frac{d_\infty^T(u,v)}{d_\infty(u,v)} = \frac{d_1^{T'}(r(u),r(v))}{d_1(r(u),r(v))};$$

Therefore, if *T* has stretch factor *t* with respect to the  $L_2$ -metric (resp.  $L_{\infty}$ -metric,  $L_1$ -metric) then *T'* has stretch factor *t* with respect to the  $L_2$ -metric (resp.  $L_1$ -metric,  $L_{\infty}$ -metric). The proof in the opposite direction, from *P'* and *T'* to *P* and *T*, is symmetric.  $\Box$ 

We can now show lower bounds on the stretch factors of  $L_{\infty}$ - and  $L_1$ -Delaunay triangulations with respect to the important Euclidean,  $L_1$ -, and  $L_{\infty}$ -metrics:

#### Theorem 4. There exist:

- $L_1$  and  $L_{\infty}$ -Delaunay triangulations whose stretch factor, with respect to the Euclidean distance, is arbitrarily close to  $\sqrt{4+2\sqrt{2}} \approx 2.613...$
- $L_1$  and  $L_\infty$ -Delaunay triangulations whose stretch factor, with respect to the  $L_1$ -metric, is arbitrarily close to 3.
- $L_1$  and  $L_\infty$ -Delaunay triangulations whose stretch factor, with respect to the  $L_\infty$ -metric, is arbitrarily close to 3.

Furthermore, with respect to the  $L_p$ -metric, for  $1 , there exist <math>L_\infty$ -Delaunay triangulations whose stretch factor is arbitrarily close to

$$((1+2^{1/p})^{p/(p-1)}+1)^{(p-1)/p}.$$

**Proof.** The statements for  $L_{\infty}$ -Delaunay triangulations follow from Lemma 1 and Lemma 2. Lemma 3 extends the lower bounds to  $L_1$ -Delaunay triangulations for the Euclidean,  $L_1$ -, and  $L_{\infty}$ -metrics.

In Fig. 4, we show several examples of  $L_{\infty}$ -Delaunay triangulations that illustrate Theorem 4. While the points in these examples are *not* in general position, they demonstrate more clearly the lower bounds. Fig. 4(a) represents a class of  $L_{\infty}$ -Delaunay triangulations whose stretch factor, with respect to the  $L_1$ -metric, can be made arbitrarily close to

$$\frac{d_1(a,c_1) + d_1(c_1,b)}{d_1(a,b)} = \frac{1+2}{1} = 3$$

by adding enough regularly spaced points on segments  $[ac_1]$  and  $[c_2b]$  and triangulating as shown. Fig. 4(b) represents a class of triangulations whose stretch factor, with respect to the  $L_2$ -metric can also be made arbitrarily close to:

$$\frac{d_2(a,c_1)+d_2(c_1,b)}{d_2(a,b)}=\frac{2\sqrt{2}}{\sqrt{4-2\sqrt{2}}}=\sqrt{4+2\sqrt{2}}\approx 2.613\ldots$$

Finally, Fig. 4(c) represents a class of triangulations whose stretch factor, with respect to the  $L_{\infty}$ -metric, can be made arbitrarily close to:

$$\frac{d_{\infty}(a,c_1) + d_{\infty}(c_1,b)}{d_{\infty}(a,b)} = \frac{2+1}{1} = 3$$

#### 4. Main result

In this section we obtain tight upper bounds on the stretch factor of  $L_1$ - and  $L_\infty$ -Delaunay triangulations. They will follow directly from the following key theorem that bounds the distance between any two points *a* and *b* of an  $L_\infty$ -triangulation in terms of the length and width of a rectangle having *a* and *b* as vertices:

**Theorem 5.** Let  $p \in [1, \infty]$ , let *P* be a set of points in general position in the plane, and let *T* be the  $L_{\infty}$ -Delaunay triangulation on *P*. For any pair of points a and b of *P*, let R(a, b) be the rectangle with sides parallel to the *x*- or *y*-axis and with diagonal [ab]. If the size of R(a, b) is  $w \times h$  (with  $0 \le h \le w$  and 0 < w) then

 $d_p^T(a,b) \le (1+2^{1/p})w+h.$ 

This section is devoted to the proof of this theorem. Before we start, we show how this theorem implies the main result of this paper:

**Theorem 6.** The stretch factor of  $L_1$ - and  $L_\infty$ -Delaunay triangulations is exactly

- $\sqrt{4+2\sqrt{2}} \approx 2.6131259...$  with respect to the Euclidean distance.
- 3 with respect to the  $L_1$ -metric or the  $L_\infty$  metric.

With respect to the  $L_p$ -metric, for  $1 , the stretch factor of <math>L_{\infty}$ -Delaunay triangulations is exactly

$$((1+2^{1/p})^{p/(p-1)}+1)^{(p-1)/p}$$

**Proof.** By Theorem 5, an upper-bound on the stretch factor of an  $L_{\infty}$ -Delaunay triangulation with respect to the  $L_p$ -metric ( $p \in [1, \infty]$ ) is the maximum of the function

$$\frac{(1+2^{1/p})w+h}{\|(w,h)\|_p} = \frac{1+2^{1/p}+h/w}{\|(1,h/w)\|_p} = s_p(h/w)$$

over values w and h such that  $0 \le h \le w$  and 0 < w and where function  $s_p$  is as defined in Lemma 1. As observed in Lemma 2,

$$\max_{(h/w)\in[0,1]}s_p(h/w)=s_p(y_p).$$

Therefore the upper bounds on the stretch factor of an  $L_{\infty}$ -Delaunay triangulation with respect to the  $L_p$ -metric ( $p \in [1, \infty]$ ) match the lower bounds shown in Theorem 4. By Lemma 3, the same holds for  $L_1$ -Delaunay triangulations for the  $L_1$ -,  $L_2$ -, and  $L_{\infty}$ -metrics.  $\Box$ 

## 4.1. Overview of the argument and a structural lemma

We will prove Theorem 5 by showing that between any two points a and b of P there is a short enough path in T. The proof will be by induction on the (rank of the) distance between the points in P with respect to the  $L_{\infty}$ -metric. If edge  $(a, b) \in T$  the path is simply edge (a, b). Otherwise, if rectangle R(a, b) contains a point c of P other than a and b, we will easily apply induction to show the existence of short enough paths from a to c and from c to b which together form a short enough path from a to b. The case when R(a, b) does not contain any point of P (other than a and b) is more difficult and we need to develop tools to handle it.



**Fig. 5.** Triangles  $T_1$  (with points a,  $h_1$ ,  $l_1$ ),  $T_2$  (with points  $h_1$ ,  $h_2$ , and  $l_2$ ), and  $T_3$  (with points  $l_2$ ,  $h_3$ , and  $l_3$ ) and associated squares  $S_1$ ,  $S_2$ , and  $S_3$ . When traveling from a to b along segment [a, b], the edge that is hit when leaving triangle  $T_i$  is  $(h_i, l_i)$ .

To simplify the notation and the discussion, we assume that point *a* has coordinates (0, 0) and point *b* has coordinates (w, h) with  $0 \le h \le w$  and 0 < w. The line containing segment [*ab*] divides the plane into two half-planes; a point in the same half-plane as point (0, 1) is said to be *above* segment [*ab*], otherwise it is *below*. Let  $T_1, T_2, T_3, \ldots, T_k$  be the sequence of triangles of triangulation *T* that line segment [*ab*] intersects when moving from *a* to *b*; if R(a, b) contains no point of *P* other than *a* and *b*, segment [*ab*] does not contain the vertices of these triangles, other than *a* and *b* of course. Let  $h_1$  and  $l_1$  be the vertices of  $T_1$  other than *a*, with  $h_1$  lying above segment [*ab*] and  $l_1$  and lying below. Every triangle  $T_i$ , for 1 < i < k, intersects line segment [*ab*] twice; let  $h_i$  and  $l_i$  be the endpoints of the edge of  $T_i$  that intersects segment [*ab*] last, when moving on segment [*ab*] from *a* to *b*, with  $h_i$  being above and  $l_i$  being below segment [*ab*]. Note that either  $h_i = h_{i-1}$  and  $T_i = \Delta(h_i, l_i, l_{i-1})$  or  $l_i = l_{i-1}$  and  $T_i = \Delta(h_{i-1}, h_i, l_i)$ , for 1 < i < k. We also set  $h_0 = l_0 = a$  and  $h_k = l_k = b$ . For  $1 \le i \le k$ , we define  $S_i$  to be the empty square having the vertices of  $T_i$  on its boundary (see illustration in Fig. 5). Finally, we will say that a point *u* with coordinates ( $x_u, y_u$ ) is high (resp. low) with respect to R(a, b) if  $0 \le x_u \le w$  and  $y_u > h$  (resp.  $y_u < 0$ ).

In order to prove Theorem 5 in the case when R(a, b) does not contain any point of *P*, we will show (in Lemma 8) that either a) one of the paths  $a, h_1, h_2, \ldots, h_{k-1}, b$  or  $a, l_1, l_2, \ldots, l_{k-1}, b$  is short enough or b) there is a point  $c = l_j$  or  $h_j$  and there is a path from *a* to *c* that is short enough and that can be combined with the path from *c* to *b* that exists by induction into a short enough path from *a* to *b*.

We start the formal argument with a lemma that describes the structure of triangles  $T_1, \ldots, T_k$  and associated squares  $S_1, \ldots, S_k$ :

**Lemma 7.** If (a, b) is not an edge of T and if rectangle R(a, b) contains no point of P other than a and b, then a lies on the W side of square  $S_1$ , b lies on the E side of square  $S_k$ , points  $h_1, \ldots, h_{k-1}$  are high and points  $l_1, \ldots, l_{k-1}$  are low with respect to R(a, b). Furthermore, for any i such that 1 < i < k:

- a) Either  $T_i = \triangle(h_{i-1}, h_i, l_{i-1} = l_i)$ , points  $h_{i-1}, h_i$ , and  $l_{i-1} = l_i$  lie on sides of  $S_i$  in clockwise order, with no two on the same side, and  $(h_{i-1}, h_i)$  is a WN, WE, or NE edge in  $S_i$ ,
- b) Or  $T_i = \triangle(h_{i-1} = h_i, l_{i-1}, l_i)$ , points  $h_{i-1} = h_i$ ,  $l_i$ , and  $l_{i-1}$  lie on the sides of  $S_i$  in clockwise order, with no two on the same side, and  $(l_{i-1}, l_i)$  is a WS, WE, or SE edge in  $S_i$ .

These properties are illustrated in Fig. 5.

**Proof.** Because (a, b) is not an edge of T,  $h_1, l_1 \neq b$ . Since  $h_1$  and  $l_1$  lie on the boundary of square  $S_1$  and are, respectively, above and below segment [ab], it follows that segment [ab] intersects the interior of square  $S_1$ . With a being the origin and b lying in the first quadrant, a therefore must lie on the W or S side of  $S_1$ . If a was to lie on the S side then, since  $l_1$  is below [ab] and lies outside R(a, b), the side length of  $S_1$  would have to be larger than w, the width of R(a, b), and  $S_1$  would contain b in its interior which is a contradiction. Therefore a lies on the W side of  $S_1$  and, by symmetry, b lies on the E side of  $S_k$ .

Every square  $S_i$  (0 < i < k) has points  $h_i$  and  $l_i$  on its boundary. Since  $h_i$  is above segment [ab] and  $l_i$  is below, since both are outside rectangle R(a, b), and since  $S_i$  cannot contain points a or b in its interior,  $S_i$  must have its N side above the north side of rectangle R(a, b), its S side below the south side of rectangle R(a, b), and its E and W sides intersecting the north and the south sides of rectangle R(a, b). This implies that points  $h_i$  and  $l_i$  must be high and low, respectively, with respect to R(a, b).

The three vertices of  $T_i$  can be either  $h_i = h_{i-1}$ ,  $l_{i-1}$ , and  $l_i$  or  $h_{i-1}$ ,  $h_i$ , and  $l_{i-1} = l_i$ . Because points of P are in general position, every edge of  $T_i$  hits two different sides of square  $S_i$ . Also, because  $h_{i-1}$  and  $h_i$  are high, they cannot lie on the S side of  $S_i$ , and because  $l_{i-1}$  and  $l_i$  are low, they cannot lie on the N side of  $S_i$ . If  $T_i = \Delta(h_{i-1}, h_i, l_{i-1} = l_i)$ , points  $h_{i-1}$ ,  $h_i$ ,  $l_i$  must lie on the sides of  $S_i$  in clockwise order. The only placements of  $h_{i-1}$  and  $h_i$  on the sides of  $S_i$  that satisfy all these constraints are such that they form a WN, WE, or NE edge in  $S_i$ . If  $T_i = \Delta(h_{i-1} = h_i, l_{i-1}, l_i)$ , points  $h_i$ ,  $l_i$ ,  $l_{i-1}$  must lie on the sides of  $S_i$  in clockwise order, and the placements of points  $l_{i-1}$  and  $l_i$  on the sides of  $S_i$  that satisfy the constraints are such that they form a WS, WE, or SE edge in  $S_i$ .  $\Box$ 

## 4.2. Inductive square, the Crossing Lemma, and the proof of Theorem 5

We define next the square  $S_j$  and the point of P lying on the boundary of  $S_j$  on which induction can be applied in the proof of Theorem 5 when rectangle R(a, b) contains no point of P other than a and b. We introduce some additional terminology first. A vertex c of  $T_i$  is said to be *eastern* in  $S_i$  if it lies on the E side of  $S_i$ . An edge is said to be *gentle* if the line segment corresponding to it in the graph embedding has a slope within [-1, 1]; otherwise we say that it is *steep*. Note that by Lemma 7 and the assumption that points of P are in general position, if edge  $(l_j, h_j)$  is gentle then  $l_j$  or  $h_j$  must be eastern in  $S_j$ .

**Definition 1.** Assume that (a, b) is not an edge in T and that rectangle R(a, b) contains no point of P other than a and b. Square  $S_j$ , for  $1 \le j < k$ , is *inductive* if edge  $(l_j, h_j)$  is gentle. The eastern point  $c = h_j$  or  $c = l_j$  is the *inductive point* of inductive square  $S_j$ .

The following lemma will be the key ingredient of our inductive proof of Theorem 5. We will prove it later in this section.

**Lemma 8** (*Crossing Lemma*). Assume that (a, b) is not an edge in T and that rectangle R(a, b) contains no point of P other than a and b. If no square  $S_1, \ldots, S_{k-1}$  is inductive then

$$d_p^T(a,b) \leq \left(1+2^{\frac{1}{p}}\right)w+h.$$

Otherwise let  $S_i$  be the first inductive square in the sequence  $S_1, S_2, \ldots, S_{k-1}$ . If  $h_i$  is the inductive point of  $S_i$  then

$$d_p^T(a,h_j) + (y_{h_j} - h) \leq (1 + 2^{\frac{1}{p}}) x_{h_j}.$$

If  $l_i$  is the inductive point of  $S_i$  then

$$d_p^T(a, l_j) - y_{l_j} \leq (1 + 2^{\frac{1}{p}}) x_{l_j}.$$

When an inductive point *c* exists, we can use Lemma 8 to bound  $d_p^T(a, c)$  and then apply induction to bound  $d_p^T(c, b)$ . We can use these bounds to prove Theorem 5 *if* the position of point *c* relative to the position of point *b* is such that  $w - x_c \ge |h - y_c|$ . If that is not the case, we will use the following lemma:

**Lemma 9.** Assume that (a, b) is not an edge in T and that rectangle R(a, b) contains no point of P other than a and b and let the coordinates of point  $c = h_i$  or  $c = l_i$  satisfy  $w - x_c < |h - y_c|$ .

- a) If  $c = h_i$ , and thus  $w x_{h_i} < y_{h_i} h$ , then there exists j, with  $i < j \le k$  such that all edges in path  $h_i, h_{i+1}, h_{i+2}, ..., h_j$  are NE edges in their respective squares and  $w x_{h_j} \ge y_{h_j} h \ge 0$ .
- b) If  $c = l_i$ , and thus  $w x_{l_i} < h y_{l_i}$ , then there exists j, with  $i < j \le k$  such that all edges in path  $l_i, l_{i+1}, l_{i+2}, ..., l_j$  are SE edges in their respective squares and  $w x_{l_j} \ge h y_{l_j} \ge 0$ .

**Proof.** We only prove the case  $c = h_i$  as the case  $c = l_i$  follows using a symmetric argument.

We construct the path  $h_i, h_{i+1}, h_{i+2}, \ldots, h_j$  iteratively. If  $h_i = h_{i+1}$ , we just continue building the path from  $h_{i+1}$ . Otherwise,  $(h_i, h_{i+1})$  is an edge of  $T_{i+1}$  which, by Lemma 7, must be a WN, WE, or NE edge in square  $S_{i+1}$ . Since  $h_i$  and  $l_i$  lie on the boundary of  $S_{i+1}$ , since  $h_i$  is high and  $l_i$  is low, and because  $w - x_{h_i} < y_{h_i} - h$  which is in turn less than the side length of  $S_{i+1}$ , point  $h_i$  cannot lie on the W side of  $S_{i+1}$  (otherwise b would be inside square  $S_{i+1}$ ). Thus  $(h_i, h_{i+1})$  is a NE edge. If  $w - x_{h_{i+1}} \ge y_{h_{i+1}} - h$  we stop, otherwise we continue the path construction from  $h_{i+1}$ .

We can now prove the main theorem.

**Proof of Theorem 5.** The proof is by induction on the rank of the distance, with respect to the  $L_{\infty}$ -metric, between points of *P* (since *P* is finite there is only a finite number of distances to consider).

Let *a* and *b* be the two points of *P* that are the closest points, with respect to the  $L_{\infty}$ -metric. We assume w.l.o.g. that *a* has coordinates (0, 0) and *b* has coordinates (w, h) with  $0 \le h \le w$  and  $0 < w = d_{\infty}(a, b)$ . Since *a* and *b* are the closest points using the  $L_{\infty}$ -metric, the largest square having *a* as a southwest vertex and containing no points of *P* in its interior, which we call  $S_a$ , must have *b* on its boundary. Therefore (a, b) is an edge in *T* and  $d_p^T(a, b) = d_p(a, b) \le w + h \le 1$ 

 $(1+2^{\frac{1}{p}})w+h.$ 

For the induction step, we again assume w.l.o.g. that *a* has coordinates (0, 0) and *b* has coordinates (w, h) with  $0 \le h \le w$ and  $0 < w = d_{\infty}(a, b)$ . If (a, b) is an edge in *T*, we can bound  $d_p^T(a, b)$  just as we did in the base case. So we assume that (a, b) is not an edge in *T*.



**Fig. 6.** Partition of R(a, b) into three regions in Case 1 of the proof of Theorem 5.

**Case 1.** Rectangle R(a, b) contains a point of P (other than a or b).

We first consider the case when there is at least one point of *P*, other than *a* and *b*, lying within rectangle *R*(*a*, *b*). If there is a point  $c \neq a, b$  in *R*(*a*, *b*) such that  $0 \leq y_c \leq x_c$ ,  $0 < x_c$ ,  $0 \leq h - y_c \leq w - x_c$ , and  $0 < w - x_c$  (i.e., *c* lies in the region *B* shown in Fig. 6) then, because  $d_{\infty}(a, c) = x_c < w = d_{\infty}(a, b)$  and  $d_{\infty}(c, b) = w - x_c < w = d_{\infty}(a, b)$ , we can apply induction to get  $d_p^T(a, c) \leq (1 + 2^{\frac{1}{p}})x_c + y_c$  and  $d_p^T(c, b) \leq (1 + 2^{\frac{1}{p}})(w - x_c) + h - y_c$  and use these to obtain the desired bound for  $d_p^T(a, b)$ .

We now assume that there is no point inside region *B*. If there is still a point in R(a, b) then there must be one, say *c*, that is on the boundary of  $S_a$ , the square we defined in the base case, or  $S_b$ , defined as the largest square having *b* as a northeast vertex and containing no points of *P* in its interior. W.l.o.g., we assume the former and thus there is an edge  $(a, c) \in T$  such that either  $y_c > x_c > 0$ , i.e. *c* is inside region *A* shown in Fig. 6, or  $h - y_c > w - x_c \ge 0$  and  $y_c > 0$ , i.e. *c* is inside region *C*. (Strict inequalities  $x_c > 0$  in the former case and  $y_c > 0$  in the latter case follow from the fact that *a* and *c* cannot lie on the same side of an empty square  $S_a$  by our general position assumption). Either way,  $d_p^T(a, c) = d_p(a, c) \le x_c + y_c$ . If *c* is in region *A*, because  $0 \le h - y_c \le w - x_c$ ,  $0 < w - x_c$ , and  $d_{\infty}(c, b) = w - x_c < w = d_{\infty}(a, b)$ , by induction we also have that  $d_p^T(c, b) \le (1 + 2^{\frac{1}{p}})(w - x_c) + (h - y_c)$ . Then

$$\begin{aligned} d_p^T(a,b) &\leq d_p^T(a,c) + d_p^T(c,b) \\ &\leq x_c + y_c + \left(1 + 2^{\frac{1}{p}}\right)(w - x_c) + (h - y_c) \\ &\leq \left(1 + 2^{\frac{1}{p}}\right)w + h. \end{aligned}$$

In the second case, since  $0 \le w - x_c < h - y_c$  and  $d_{\infty}(c, b) = h - y_c < w = d_{\infty}(a, b)$ , by induction we have that  $d_p^T(c, b) \le (1 + 2^{\frac{1}{p}})(h - y_c) + (w - x_c)$ . Then

$$\begin{split} d_p^T(a,b) &\leq d_p^T(a,c) + d_p^T(c,b) \\ &\leq x_c + y_c + \left(1 + 2^{\frac{1}{p}}\right)(h - y_c) + (w - x_c) \\ &\leq \left(1 + 2^{\frac{1}{p}}\right)w + h, \end{split}$$

where the last inequality follows from  $h \le w$ .

**Case 2.** Rectangle R(a, b) contains no point of P (other than a and b).

If no square  $S_1, S_2, \ldots, S_{k-1}$  is inductive,  $d_p^T(a, b) \le (1 + 2^{\frac{1}{p}})w + h$  by Lemma 8. Otherwise, let  $S_i$  be the first inductive square in the sequence and suppose, for now, that  $h_i$  is the inductive point of  $S_i$ . By Lemma 7,  $x_{h_i} > 0$ . By Lemma 9, there is a  $j, i \le j \le k$ , such that  $h_i, h_{i+1}, h_{i+2}, \ldots, h_j$  is a path in T of length, with respect to the  $L_p$ -metric, at most  $(x_{h_j} - x_{h_i}) + (y_{h_i} - y_{h_j})$  and such that  $w - x_{h_j} \ge y_{h_j} - h \ge 0$  and, by Lemma 7,  $x_{h_j} \ge x_{x_{h_i}}$ . Either  $h_j = b$  or  $0 < w - x_{h_j}$  and we can apply induction to bound  $d_p^T(h_j, b)$  since  $d_{\infty}(h_j, b) = w - x_{h_j} < w = d_{\infty}(a, b)$ ; in both cases we have  $d_p^T(h_j, b) \le (1 + 2^{\frac{1}{p}})(w - x_{h_i}) + (y_{h_i} - h)$ . Putting all this together with Lemma 8, we get:

$$\begin{split} d_p^T(a,b) &\leq d_p^T(a,h_i) + d_p^T(h_i,h_j) + d_p^T(h_j,b) \\ &\leq \left(1 + 2^{\frac{1}{p}}\right) x_{h_i} - (y_{h_i} - h) + (x_{h_j} - x_{h_i}) + (y_{h_i} - y_{h_j}) + \left(1 + 2^{\frac{1}{p}}\right) (w - x_{h_j}) + (y_{h_j} - h) \\ &\leq \left(1 + 2^{\frac{1}{p}}\right) w. \end{split}$$

If  $l_i$  is the inductive point of  $S_i$ ,  $x_{l_i} > 0$  by Lemma 7. By Lemma 9 there is a j,  $i \le j \le k$ , such that  $l_i, l_{i+1}, l_{i+2}, ..., l_j$  is a path in T of length, with respect to the  $L_p$ -metric, at most  $(x_{l_j} - x_{l_i}) + (y_{l_j} - y_{l_i})$  and such that  $w - x_{l_j} \ge h - y_{l_j} \ge 0$  and, by Lemma 7,  $x_{l_j} \ge x_{l_i}$ . Just as in the previous case, either  $l_j = b$  or  $w - x_{l_j} > 0$  and we can apply induction to bound  $d_p^T(l_j, b)$  since  $d_{\infty}(l_j, b) = w - x_{l_j} < w = d_{\infty}(a, b)$ . Putting all this together with Lemma 8, we get:



**Fig. 7.** The three cases in the proof of Lemma 10. In each case, the difference  $P_{S_{i+1}}(h_i, l_i) - P_{S_i}(h_i, l_i)$  is shown to be at most  $4\delta_x$ , where  $\delta_x = \delta_{i+1} - \delta_i$ .

$$\begin{split} d_p^T(a,b) &\leq d_p^T(a,l_i) + d_p^T(l_i,l_j) + d_p^T(l_j,b) \\ &\leq \left(1 + 2^{\frac{1}{p}}\right) x_{l_i} + y_{l_i} + (x_{l_j} - x_{l_i}) + (y_{l_j} - y_{l_i}) + \left(1 + 2^{\frac{1}{p}}\right) (w - x_{l_j}) + (h - y_{l_j}) \\ &\leq \left(1 + 2^{\frac{1}{p}}\right) w + h. \quad \Box \end{split}$$

## 4.3. Squares with potential and the proof of the Crossing Lemma

What remains to be done is to prove Lemma 8. To do this, we need to develop some further terminology and tools. Let  $\delta_i$ , for  $1 \le i \le k$ , be the horizontal distance between point *a* and the E side of square  $S_i$ , respectively. We also set  $\delta_0 = 0$ .

**Definition 2.** A square S<sub>i</sub> has a potential if

$$d_{n}^{T}(a,h_{i}) + d_{n}^{T}(a,l_{i}) + P_{S_{i}}(h_{i},l_{i}) \leq 4\delta_{i}$$

where  $P_{S_i}(h_i, l_i)$  is the length of the path when moving from  $h_i$  to  $l_i$  along the sides of  $S_i$ , clockwise. Note this distance is the same for all  $L_p$ -metrics ( $p \in [1, \infty]$ ).

**Lemma 10.** If (a, b) is not an edge of T and if rectangle R(a, b) contains no point of P other than a and b then  $S_1$  has a potential. Furthermore, for any  $1 \le i < k$ , if  $S_i$  has a potential but is not inductive then  $S_{i+1}$  has a potential.

**Proof.** By Lemma 7, a lies inside the W side of  $S_1$  and  $\delta_1$  is the side length of square  $S_1$ . Then  $d_p^T(a, h_1) + d_p^T(a, l_1) + d_p^T(a, l_2) + d_$  $P_{S_1}(h_1, l_1)$  is bounded by the perimeter of square  $S_1$  which is  $4\delta_1$ .

Now assume that  $S_i$ , for  $1 \le i < k$ , has a potential but is not inductive. Squares  $S_i$  and  $S_{i+1}$  both contain points  $l_i$  and  $h_i$ . Because  $S_i$  is not inductive, edge  $(l_i, h_i)$  must be steep and thus  $\Delta_x(l_i, h_i) < \Delta_y(l_i, h_i)$ . To simplify the argument that follows, we assume that  $l_i$  is "to the left" of  $h_i$ , i.e.,  $x_{l_i} < x_{h_i}$ . The case when  $x_{l_i} > x_{h_i}$  can be shown using an equivalent argument.

By Lemma 7,  $T_i = \triangle(h_{i-1}, h_i, l_{i-1} = l_i)$  or  $T_i = \triangle(h_{i-1} = h_i, l_{i-1}, l_i)$  and there has to be a side of  $S_i$  between the sides on which  $l_i$  and  $h_i$  lie, when moving clockwise from  $l_i$  to  $h_i$ . Using the constraints on the position of  $h_i$  and  $l_i$  within  $S_i$  from Lemma 7 and using the assumptions that  $(l_i, h_i)$  is steep and that  $x_{l_i} < x_{h_i}$ , we deduce that  $l_i$  must be on the S side and  $h_i$ must be on the N or E side of  $S_i$ .

If  $h_i$  is on the N side of  $S_i$  then, because  $x_{l_i} < x_{h_i}$ ,  $h_i$  must also be on the N side of  $S_{i+1}$ . There are then two possibilities for the position of  $l_i$  within square  $S_{i+1}$ . One is that  $l_i$  is on the S side of  $S_{i+1}$  and  $S_{i+1}$  is obtained from  $S_i$  by a horizontal translation of length  $\delta_x = \delta_{i+1} - \delta_i$  as shown in Fig. 7(a). Then

$$P_{S_{i+1}}(h_i, l_i) - P_{S_i}(h_i, l_i) = 2\delta_x = 2(\delta_{i+1} - \delta_i).$$

The other possibility is that  $l_i$  is on the W side of  $S_{i+1}$ . Let  $S'_i$  be the square with  $l_i$  as its SW corner and obtained by a horizontal translation of  $S_i$ , let  $\delta_{x_1}$  be the length of this translation, and let  $\delta_{x_2}$  be the difference between the side length of  $S'_i$  and  $S_{i+1}$ , as illustrated in Fig. 7(b). Then  $\delta_{x_1} + \delta_{x_2} = \delta_{i+1} - \delta_i$  and

$$P_{S_{i+1}}(h_i, l_i) - P_{S_i}(h_i, l_i) = 2\delta_{x_1} + 4\delta_{x_2}$$
  

$$\leq 4(\delta_{i+1} - \delta_i).$$
(1)

If  $h_i$  is on the E side of  $S_i$  then, let  $S'_i$  be the square that shares its SE corner with  $S_i$  and with  $h_i$  in its NE corner, as shown in Fig. 7(c). Since  $(l_i, h_i)$  is steep,  $l_i$  lies on the S side of  $S'_i$ . Using the analysis from the previous case, we obtain  $P_{S_{i+1}}(h_i, l_i) - P_{S'_i}(h_i, l_i) \le 4(\delta_{i+1} - \delta_i)$  and since  $P_{S'_i}(h_i, l_i) = P_{S_i}(h_i, l_i)$  we deduce that inequality (1) holds in all cases. Since  $S_i$  has a potential, we obtain:

$$d_p^T(a, h_i) + d_p^T(a, l_i) + P_{S_{i+1}}(h_i, l_i) \le 4\delta_{i+1}.$$

Assume  $T_{i+1} = \triangle(h_i, h_{i+1}, l_i = l_{i+1})$ ; in other words,  $(h_i, h_{i+1})$  is an edge of T with  $h_{i+1}$  lying somewhere on the boundary of  $S_{i+1}$  between  $h_i$  and  $l_i$ , when moving clockwise from  $h_i$  to  $l_i$ . By the triangular inequality,  $d_p(h_i, h_{i+1}) \le P_{S_{i+1}}(h_i, h_{i+1})$  and we have that:

$$\begin{aligned} d_p^T(a, h_{i+1}) + d_p^T(a, l_{i+1}) + P_{S_{i+1}}(h_{i+1}, l_{i+1}) &\leq d_p^T(a, h_i) + d_p(h_i, h_{i+1}) + d_p^T(a, l_i) + P_{S_{i+1}}(h_{i+1}, l_i) \\ &\leq d_p^T(a, h_i) + d_p^T(a, l_i) + P_{S_{i+1}}(h_i, l_i) \\ &\leq 4\delta_{i+1}. \end{aligned}$$

Thus  $S_{i+1}$  has a potential. The argument for the case when  $T_{i+1} = \triangle(h_i = h_{i+1}, l_i, l_{i+1})$  is symmetric.  $\Box$ 

**Lemma 11.** If square  $S_i$  has a potential and  $c = h_i$  or  $c = l_i$  is an eastern point in  $S_i$  then

$$d_p^T(a,c) \leq 2x_c$$

**Proof.** W.l.o.g., assume  $c = h_i$ . Since  $h_i$  is eastern,  $\delta_i = x_c = x_{h_i}$ . Because  $S_i$  has a potential, either  $d_p^T(a, h_i) \le 2x_{h_i}$  or  $d_p^T(a, l_i) + P_{S_i}(h_i, l_i) \le 2x_{h_i}$ . In the second case, because edge  $(l_i, h_i)$  is in T, by the triangular inequality we obtain  $d_p^T(a, h_i) \le d_p^T(a, l_i) + d_p(l_i, h_i) \le 2x_{h_i}$ .  $\Box$ 

**Definition 3.** Let  $1 \le j \le k$ . The maximal high path ending at  $h_i$  and the maximal low path ending at  $l_i$  are defined as follows:

- If  $h_j$  is eastern in  $S_j$ , the maximal high path ending at  $h_j$  is simply  $h_j$ ; otherwise, it is the path  $h_i, h_{i+1}, \ldots, h_j$  such that  $h_{i+1}, \ldots, h_j$  are not eastern in, respectively,  $S_{i+1}, \ldots, S_j$  and either i = 0 or  $h_i$  is eastern in  $S_i$ .
- If  $l_j$  is eastern in  $S_j$ , the maximal low path ending at  $l_j$  is simply  $l_j$ ; otherwise, it is the path  $l_i, l_{i+1}, \ldots, l_j$  such that  $l_{i+1}, \ldots, l_j$  are not eastern in, respectively,  $S_{i+1}, \ldots, S_j$  and either i = 0 or  $l_i$  is eastern in  $S_i$ .

Note that by Lemma 7 all edges on maximal high path  $h_i, h_{i+1}, \ldots, h_j$  are WN edges and thus the path length is bounded by  $(x_{h_j} - x_{h_i}) + (y_{h_j} - y_{h_i})$ . Similarly, all edges in maximal low path  $l_i, l_{i+1}, \ldots, l_j$  are WS edges and the length of the path is at most  $(x_{l_j} - x_{l_i}) + (y_{l_i} - y_{l_j})$ .

We now have the tools to prove Lemma 8.

**Proof of Lemma 8.** If rectangle R(a, b) is empty then, by Lemma 7, b is eastern in  $S_k$ . Thus, by Lemma 10 and Lemma 11, if no square  $S_1, \ldots, S_{k-1}$  is inductive then  $d_p^T(a, b) \le 2w \le (1 + 2^{\frac{1}{p}})w + h$ .

Assume now that there is at least one inductive square in the sequence of squares  $S_1, \ldots, S_{k-1}$ . Let  $S_j$  be the first inductive square and assume, for now, that  $h_j$  is the inductive point in  $S_j$ . By Lemma 10, every square  $S_i$ , for  $i \le j$ , has a potential. Since  $(l_j, h_j)$  is gentle, it follows that  $d_p(l_j, h_j) \le 2^{\frac{1}{p}}(x_{h_j} - x_{l_j})$ . Let  $l_i, l_{i+1}, \ldots, l_{j-1} = l_j$  be the maximal low path ending at  $l_j$ . Note that  $d_p^T(l_i, l_j) \le (x_{l_j} - x_{l_j}) + (y_{l_i} - y_{l_j})$ . Either  $l_i = l_0 = a$  or  $l_i$  is an eastern point in square  $S_i$  that has a potential and Lemma 11 applies; either way, we have that  $d_p^T(a, l_i) \le 2x_{l_i}$ . Putting all this together, we get

$$\begin{aligned} d_p^T(a, h_j) + (y_{h_j} - h) &\leq d_p^T(a, l_i) + d_p^T(l_i, l_j) + d_p(l_j, h_j) + y_{h_j} - h \\ &\leq 2x_{l_i} + (x_{l_j} - x_{l_i}) + (y_{l_i} - y_{l_j}) + 2^{\frac{1}{p}}(x_{h_j} - x_{l_j}) + y_{h_j} - h \\ &\leq 2^{\frac{1}{p}}x_{h_j} + x_{l_j} + (y_{h_j} - y_{l_j}) + (y_{l_i} - h) \\ &\leq (1 + 2^{\frac{1}{p}})x_{h_j} \end{aligned}$$

where the last inequality follows from  $y_{l_i} - h \le 0$  and from  $x_{l_j} + (y_{h_j} - y_{l_j}) \le x_{h_j}$  (i.e., from the assumption that edge  $(l_j, h_j)$  is gentle).

If, instead,  $c = l_j$  is the inductive point in inductive square  $S_j$ , let  $h_i, h_{i+1}, \ldots, h_{j-1} = h_j$  be the maximal high path ending at  $h_j$ . Then  $d_p^T(h_i, h_j) \le (x_{h_j} - x_{h_i}) + (y_{h_j} - y_{h_i})$ . Just as in the first case, we have that  $d_p^T(a, h_i) \le 2x_{h_i}$  and

$$\begin{split} d_p^{T}(a,l_j) - y_{l_j} &\leq d_p^{T}(a,h_i) + d_p^{T}(h_i,h_j) + d_p(h_j,l_j) - y_{l_j} \\ &\leq 2x_{h_i} + (x_{h_j} - x_{h_i}) + (y_{h_j} - y_{h_i}) + 2^{\frac{1}{p}}(x_{l_j} - x_{h_j}) - y_{l_j} \\ &\leq 2^{\frac{1}{p}}x_{l_j} + x_{h_j} + (y_{h_j} - y_{l_j}) \\ &\leq (1 + 2^{\frac{1}{p}})x_{l_j}, \end{split}$$

where the last inequality follows from  $x_{h_i} + (y_{h_i} - y_{l_i}) \le x_{l_i}$ , i.e., from the assumption that  $(h_j, l_j)$  is gentle.



**Fig. 8.** (a) Construction of  $Yao_4^{\infty}$ . (b) A degenerate example of graph  $Yao_4^{\infty}$  with stretch factor greater than 2.618. This example can be modified into one that has all points in general position by moving the points slightly so that they appear in the order  $l_{2,3}$ ,  $l_1$ ,  $l_4$ , a, b,  $h_{3,4}$ ,  $h_{1,2}$ , c when ordered by *y*-coordinate and in the order a,  $l_1$ ,  $h_{1,2}$ ,  $l_{2,3}$ , c,  $h_{3,4}$ ,  $l_4$ , b when ordered by *x*-coordinate.

#### 5. Conclusion and open problems

The proof of Theorem 5 is constructive, and we summarize now the algorithm that is implicit in the proof. The algorithm constructs a path in the  $L_{\infty}$ -Delaunay triangulation T on P between a pair of points a and b.

If edge  $(a, b) \in T$  the path is simply edge (a, b). If rectangle R(a, b) contains a point c of P ( $c \neq a, b$ ), then we recursively construct a path from a to c and another from c to b and we return the concatenation of the two. If rectangle R(a, b) contains no point of P (other than a and b), we consider the triangles  $T_1, T_2, \ldots, T_k$  that segment [ab] intersects and the associated squares  $S_1, S_2, \ldots, S_k$ . If none of these squares is inductive, we return the shorter of path  $a, h_1, h_2, \ldots, h_{k-1}, b$  and path  $a, l_1, l_2, \ldots, l_{k-1}, b$ . Otherwise, we find the smallest j such that  $S_j$  is inductive with inductive point c.

If  $c = l_j$ , we find the largest i < j such that  $h_i$  is eastern in  $S_i$  (i = 0 if none of  $h_1, h_2, \ldots, h_{j-1}$  is eastern in, respectively,  $S_1, \ldots, S_{j-1}$ ; we also find the smallest j' such that  $j \le j' \le k$  and  $0 \le h - y_{l_{j'}} \le w - x_{l_{j'}}$  (where  $w = d_{\infty}(a, b) = \max{\{\Delta_x(a, b), \Delta_y(a, b)\}}$  and  $h = \min{\{\Delta_x(a, b), \Delta_y(a, b)\}}$ ). The path returned is the concatenation of:

- 1. the shorter of path  $a, h_1, h_2, \ldots, h_i$  and path  $a, l_1, l_2, \ldots, l_i, h_i$ ,
- 2. path  $h_i, h_{i+1}, \ldots, h_{j-1}, h_j, l_j, l_{j+1}, \ldots, l_{j'-1}, l_{j'}$ , and
- 3. the path from  $l_{j'}$  to *b*, obtained recursively.

If the eastern point *c* in  $S_i$  is  $h_i$ , equivalent steps are taken.

We conclude this paper by bringing up several questions and open problems that are raised by the results we obtained. We return here to the usual assumption that the edge weight function is the  $L_2$ -metric.

## 5.1. Delaunay triangulations based on polygon distance functions

The  $L_1$ -Delaunay triangulation is the *first* type of Delaunay triangulation that was shown to be a spanner [5]. Progress on the spanning properties of the TD-Delaunay and the  $L_2$ -Delaunay triangulations soon followed (as discussed in Section 1). In this paper, we determine the *precise* stretch factor of an  $L_1$ -Delaunay triangulation and close the problem for good. We believe that our proof techniques can be extended and that they will lead, yet again, to new insights on the stretch factor of other types of Delaunay triangulations. For example, let  $P_k$  denote the convex distance function defined by a regular *k*-gon. We observe that the exact stretch factor of  $P_k$ -Delaunay triangulations is known for k = 3, 4 since  $P_3$  is the triangular distance function of [6], and  $P_4$  is nothing else than the ( $L_1$ - or)  $L_\infty$ -metric. Determining the stretch factor of Delaunay triangulations for larger *k* would undoubtedly be an important step towards understanding the stretch factor of Delaunay triangulations.

#### 5.2. The stretch factor of $Yao_A^{\infty}$

Bose et al. [13] have recently defined and studied the stretch factor of  $Yao_4^{\infty}$ , a subgraph of the  $L_{\infty}$ -Delaunay triangulation on a set of points *P* in the plane. To describe this subgraph, we define a *cone* to be the region in the plane between two rays that emanate from the same point. With every point *u* of *P* we associate four disjoint 90° cones emanating from *u*: they are defined by the translation of the *x*- and *y*-axis from the origin to point *u*. The graph  $Yao_4^{\infty}$  is locally constructed by connecting each point of *P* to its closest neighbor – according to the  $L_{\infty}$ -metric – in each cone. Fig. 8(a) illustrates how the neighbors in  $Yao_4^{\infty}$  of a point *v* are chosen.

Because there is an empty square circumscribing the endpoints of every edge of  $Yao_4^{\infty}$ , it is a (typically proper) subgraph of the  $L_{\infty}$ -Delaunay triangulation on the set of points *P*. The lower bound of  $\sqrt{4 + 2\sqrt{2}}$  on the stretch factor of the  $L_{\infty}$ -Delaunay triangulation is thus also a lower bound on the stretch factor of Yao<sub>4</sub><sup> $\infty$ </sup>. However, this bound is not tight for Yao<sub>4</sub><sup> $\infty$ </sup>. We show in Fig. 8(b) the graph Yao<sub>4</sub><sup> $\infty$ </sup> on a set of points (*not* in general position for simplicity's sake) that illustrates this. In this graph,  $d_2(a, b) = 1$  while

$$d_2^{\operatorname{Yao}_4^{\infty}}(a,b) = \frac{3+\sqrt{5}}{2} \approx 2.618\dots$$

which implies that the stretch factor of  $Yao_4^{\infty}$  on a set of points in the plane can be at least  $\frac{3+\sqrt{5}}{2} > \sqrt{4+2\sqrt{2}}$ . Bose et al. [13] showed that the stretch factor of  $Yao_4^{\infty}$  is bounded from above by 8 with respect to the  $L_{\infty}$ -metric and  $8\sqrt{2}(29+23\sqrt{2})$  with respect to the  $L_2$ -metric. We leave the question of determining the exact stretch factor of Yao<sub>4</sub><sup> $\alpha$ </sup> as an open problem.

## 5.3. Locality and routing

From a routing perspective, it is of interest to construct routes in geometric graphs that can be determined locally from a neighbor's coordinates only [14]. Unfortunately, the route that is constructed by our algorithm is built using non-local decisions. It would be interesting to know whether in the  $L_1$ -Delaunay triangulation a route with stretch  $\sqrt{4} + 2\sqrt{2}$  can be constructed using a local routing algorithm. For TD-Delaunay triangulations, [15] showed that there is no local routing algorithm that achieves a stretch that is less than  $5/\sqrt{3} \approx 2.88$ , whereas the stretch factor is actually 2. They also provide a distributed routing algorithm following a path of maximal stretch  $5/\sqrt{3}$ . We leave open the question regarding the gap between the stretch factor of  $L_1$ -Delaunay triangulations and the stretch that is achievable using local routing.

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